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## Electrospun Quaternized Chitosan Fibers for Virus Removal from Drinking Water

Xue Mi

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ELECTROSPUN QUATERNIZED CHITOSAN FIBERS FOR VIRUS REMOVAL  
FROM DRINKING WATER

By

Xue Mi

A THESIS

Submitted in partial fulfillment of the requirements for the degree of

MASTER OF SCIENCE

In Chemical Engineering

MICHIGAN TECHNOLOGICAL UNIVERSITY

2013

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This thesis has been approved in partial fulfillment of the requirements for the Degree of  
MASTER OF SCIENCE in Chemical Engineering.

Department of Chemical Engineering

Thesis Advisor: *Caryn Heldt*

Committee Member: *Patricia A. Heiden*

Committee Member: *Adrienne Minerick*

Department Chair: *S. Komar Kawatra*

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## List of Abbreviations

AD40	Adenovirus 40
<i>B.diminuta</i>	<i>Brevundimonas diminuta</i>
<i>B. subtilis</i>	<i>Bacillus subtilis</i>
DMPA	2, 2-Dimethoxy-2-phenylacetophenone
DMSO	Dimethyl sulfoxide
DQ	Degree of quaternization
<i>E.coli</i>	<i>Escherichia coli</i>
<i>E.faecalis</i>	<i>Enterococcus faecali</i>
EPA	Environmental Protection Agency
FBS	Fetal bovine serum
FCV	Feline calicivirus
FE-SEM	Field emission scanning electron microscope
FTIR	Fourier transform infrared
GAC	Granular activated carbon
GTMAC	Glycidyltrimethylammonium chloride
HAV	Hepatitis A virus
HCoV-NL63	Human coronavirus NL63
HTCC	N-[(2-hydroxyl-3 trimethyl ammonium) propyl] chitosan chloride
LRV	Log removal value
MEM	Minimum essential medium
MF	Microfiltration
MHV	Murine hepatitis virus
MIC	Minimum inhibitory concentrations
MLV	Murine leukemia virus
MMV	Mouse minute virus
MWCO	Molecular weight cutoff
NF	Nanofiltration
NMR	Nuclear magnetic resonance
NOM	Natural organic matter
NV	Norwalk virus
PA	Polyamide
PAC	Powdered activated carbon
PAN	Polyacrylonitrile
PBS	Phosphate buffered saline
PEI	Polyethylenimine
PEO	Poly(ethylene oxide)
pI	Isoelectric point
PK-13	Porcine kidney-13
PVA	Polyvinyl alcohol
PVDF	Polyvinylidene fluoride
PVP	Poly(vinyl pyrrolidone)
PPV	Porcine parvovirus

Quat-12-PU	N, N-dodecyl, methyl-polyurethane
RO	Reverse osmosis
<i>S. aureus</i>	<i>Staphylococcus aureus</i>
SDS	Sodium dodecyl sulfate
Sf9	Spodoptera frugiperda 9
S-PAC	Super-powdered activated carbon
SV40	Simian virus
TEGDA	Triethylene glycol diacrylate
UF	Ultrafiltration
UV	Ultraviolet
YHV	Yellow head virus

## **Abstract**

Membrane filtration has become an accepted technology for the removal of pathogens from drinking water. Viruses, known to contaminate water supplies, are too small to be removed by a size-exclusion mechanism without a large energy penalty. Thus, functionalized electrospun membranes that can adsorb viruses have drawn our interest. We chose a quaternized chitosan derivative (HTCC) which carries a positively-charged quaternary amine, known to bind negatively-charged virus particles, as a functionalized membrane material. The technique of electrospinning was utilized to produce nanofiber mats with large pore diameters to increase water flux and decrease membrane fouling. In this study, stable, functionalized, electrospun HTCC-PVA nanofibers that can remove 3.6 logs (99.97%) of a model virus, porcine parvovirus (PPV), from water by adsorption and filtration have been successfully produced. This technology has the potential to purify drinking water in undeveloped countries and reduce the number of deaths due to lack of sanitation.

# 1 Introduction

Clean drinking water is essential for life. However, about 1 billion people currently lack access to safe drinking-water and more than 2 million deaths each year are attributable to unsafe water (WHO. 2011). These deaths from water-borne diseases are mainly caused by pathogenic contamination, including bacteria, viruses and parasites (e.g. protozoa and helminthes) (WHO. 2013). Currently, municipal systems purify water in a multistep process of chemical coagulation and flocculation, chemical disinfection, activated carbon adsorption, ion exchange and adsorption and physical membrane filtration (WHO. 2011). These techniques have many of the serious drawbacks like potential carcinogenic disinfection by-products, high capital and operating costs, and high backpressures and rapid fouling of the small pore-sized membrane components. There is a high potential that small viruses and heavy metals escape the system. Thus, it is of significance to develop efficient and cost-effective water purification methods for the removal of pathogens from drinking water.

In recent decades, membrane filtration has become an accepted technology for the removal of pathogens from drinking water (Brehant et al. 2010). Particulate contaminants like sand, silt and clays, and pathogenic contaminants like bacteria and parasites are easily removed from drinking water by microfiltration (MF) membranes with low operating pressure exerted (Bjorge et al. 2009). Yet, many enteroviruses like hepatitis A virus (HAV, about 25-30 nm) (Morrica et al. 2003) and norovirus (30-40 nm) (Sano et al. 2006), known to contaminate water supplies, are too small to be removed by size-exclusion alone without high backpressures. Therefore, functionalized electrospun membranes that can remove viruses by adsorption and filtration have drawn our interest. We want to produce large pore-sized and functionalized membranes with a high surface to volume ratio to increase adsorption while maintaining a high water flux and decreasing membrane fouling.

Electrospinning is a technique for producing continuous fibers on the nanometer scale using an external electric field on the polymer solution (Ignatova et al. 2007) and a promising method to fabricate nanofibers. The electrospun nanofibers possess the properties of high surface-to-volume ratios, large pore sizes and easy surface functionality (Frenot and Chronakis 2003; Huang et al. 2006; Ma et al. 2011), which are suitable to be used as filtering media in water filtration applications. More specified, they can be used in MF due to the pore sizes of electrospun nanofibers in the range of typical MF membranes (0.1-10  $\mu\text{m}$ ) (Bjorge et al. 2009). MF has the advantages of high water flux, low membrane fouling and low backpressures due to its large pore size, can remove particulate contaminants and microbe-like bacteria larger than 0.2  $\mu\text{m}$  in diameter, but is not suitable for virus removal (Sato et al. 2011).

In this study, functionalized nanofibers made from quaternized chitosan (N-[(2-hydroxyl-3 trimethyl ammonium) propyl] chitosan chloride) (HTCC) and polyvinyl

alcohol (PVA) have been produced to overcome the drawbacks of MF while keeping its benefits. PVA was utilized as an effective additive to HTCC to improve fiber spinnability. The high charge of HTCC creates a repulsion between HTCC polymer chains and the addition of PVA increases chain entanglement, which is needed to form electrospun fibers (Ignatova et al. 2006). The HTCC-PVA nanofibers were stabilized against water by chemical crosslinking with glutaraldehyde vapor due to the aldehyde groups of glutaraldehyde reacting with the unbound hydroxyl groups of both the HTCC and PVA to form crystallizations zone (Young and Lovell 2011). Functionalized electrospun membranes based on MF may have an appropriate pore sizes to sieve bacteria and parasites and a positive static charge to adsorb viruses while maintaining high flux permeation of filtration.

Porcine parvovirus (PPV), one of the smallest known mammalian viruses (18-26 nm in diameter) (Morrica et al. 2003), has been extensively used as a model virus in viral clearance studies (Furuya et al. 2006; Heldt et al. 2008; Miesegaes et al. 2012; Yokoyama et al. 2004). It is an icosahedral, single-stranded, non-enveloped DNA virus (Halder et al. 2012), infecting the intestines of pigs and causes swine productive failure (Boisvert et al. 2010). In this study, PPV has been used in a model virus to determine the virus removal ability of the electrospun HTCC-PVA membranes. We chose PPV as our model virus since it is difficult to inactivate by chemical and heat treatment (Kempf et al. 2007) and can be removed by only small pore-sized membranes, which foul easily and have high pressure drops (Furuya et al. 2006; Marques et al. 2009).

The thesis begins with an introduction of this project stated here as chapter 1. Chapter 2 contains a literature review that states why this research is needed and the principal background of the research. Chapter 3 describes the materials and methods of the research. The experiment results and discussion of this research are presented in chapter 4. Chapter 5 concludes the research and recommends future work.

In this study, stable, functionalized, electrospun HTCC-PVA nanofibers that can remove 3.6 logs (99.97%) of viruses from water by adsorption and filtration have been successfully produced. Fiber diameter, density and morphology and pore size of membrane were studied to characterize the functionalized electrospun membrane. The effects of membrane preparation methods and virus concentration on virus removal results were studied to analyze and model the virus capture of functionalized electrospun membranes. The kinetic adsorption and adsorption isotherm of PPV onto HTCC-PVA nanofibers was explored to provide us some insight into the adsorption process of virus.

Functionalized electrospun nanofibers, which have pores that are orders of magnitude greater in size than the virus, have the potential to greatly improve membrane filtration techniques to remove pathogens from drinking water. This will likely benefit areas in need of fresh drinking water.

## 2 Literature Review

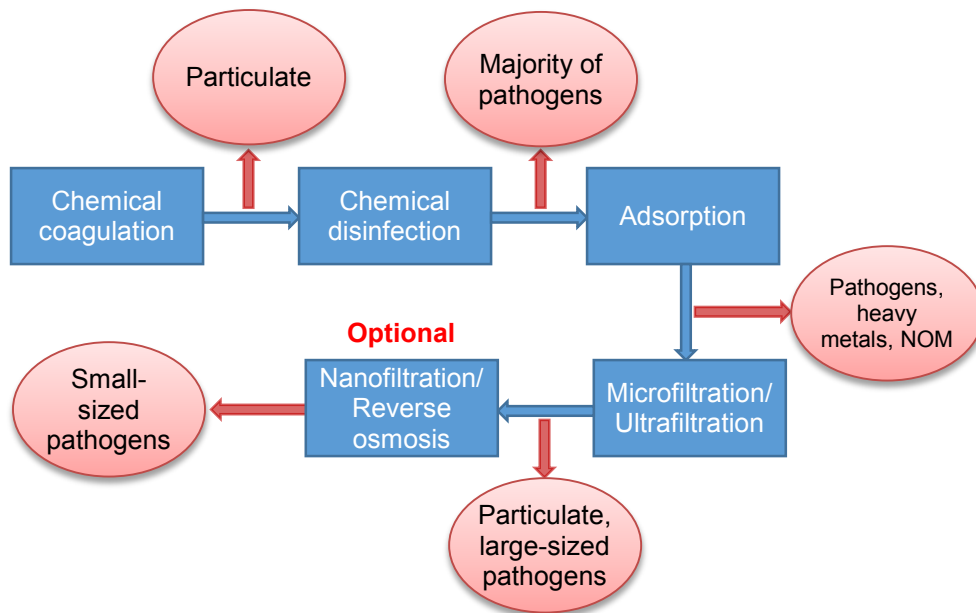
### 2.1 Water purification techniques

Biological and chemical contaminants present in drinking water are a constant concern for human health. These contaminants include viruses, bacteria, parasites, heavy metals, natural organic matter (NOM) and pollutants from human activities (WHO. 2011). Currently, removal of most of the contaminants, especially pathogens, from drinking water with a safe, efficient and cost-effective process is still a great challenge (WHO. 2011). Overcoming this challenge would be a great improvement to the lives of millions of people. The United States Environmental Protection Agency (EPA) requires that the minimum virus reduction is 4 log removal value (LRV), the minimum *Giardia* reduction is 3 LRV and the minimum *Cryptosporidium* reduction is 2 LRV (EPA. 1974; EPA. 2005). The LRV is calculated by **equation 2.1**,

$$LRV = -\log_{10}(c_f/c_i) \quad (2.1)$$

where,  $c_f$  is the pathogen concentration after water purification and  $c_i$  is the initial pathogen concentration.

There are a variety of water purification techniques commonly used, including chemical coagulation and flocculation, chemical disinfection, activated carbon adsorption, ion exchange and adsorption and physical membrane filtration (size-exclusion) (WHO. 2011; Yang et al. 2010). A typical municipal water purification system is shown in **Figure 2.1**. However, these methods have many difficulties handling pathogens. The methods that are most effective against pathogens are physical membrane filtration and chemical disinfectants. However, MF and ultrafiltration (UF) do not effectively remove small-sized pathogens (Antony et al. 2012; NDWC. 1999; WHO. 2011). Nanofiltration (NF) and reverse osmosis (RO) require high operating pressures as well as suffering from membrane fouling problems (Antony et al. 2012; NDWC. 1999; WHO. 2011). Chemical disinfectants can break down into carcinogenic by-products when in contact with NOM. Most municipal systems have high capital and operating costs, as well as large, multistep systems (Antony et al. 2012; NDWC. 1999; WHO. 2011). These methods are not capable to work in remote areas where clean drinking water is scarce. Due to these limitations, there is a need for improved water purification techniques.



**Figure 2.1** A typical municipal water purification system flow chart

### 2.1.1 Chemical coagulation and flocculation and disinfection methods

Chemical coagulation and flocculation methods are common pretreatments for purifying water. Water purification is achieved by adding inorganic coagulants, usually aluminum or iron salts, to water, which forms a solid flocculent metal hydroxide (Alexander et al. 2012; Lee et al. 2008). The precipitated floc can be removed by subsequent sedimentation or filtration (Alexander et al. 2012). Coagulation and flocculation is effective for the removal of particulate contaminants like sand, silt and clays and bound microorganisms, some heavy metals and low-soluble organic chemicals like certain organochlorine pesticides but not for other organic chemicals (WHO. 2011). Chemical coagulation and flocculation to remove contaminants from drinking water are achieved by mechanisms of charge neutralization, chemical and physical adsorption and entrapment (Lee et al. 2008; WHO. 2011). More than 6 LRV of MS2 virus (a virus with an icosahedral structure and an isoelectric point (pI) of 3.5-3.9 (Langlet et al. 2009; Sato et al. 2011)) from a virus solution with high NOM content were achieved by 4 mg Al/L of polyaluminum chloride coagulant at pH from 6.5 to 5.5 and 8 mg Fe/L of iron chloride coagulant at pH 5 following with ceramic MF (100 nm pore size) (Meyn et al. 2012). However, this resulted in high residual metal concentrations which were higher than the legal regulations (Meyn et al. 2012). However, only 2.3 LRV of MS2 virus removal from DI water was reported by 10 mg/l concentration of Fe at pH 7.3-8.3 in DI water followed by a 0.22  $\mu\text{m}$  pore size, modified polyvinylidene fluoride (PVDF) membrane filter (Zhu et al. 2005). A total of 3 LRV of MS2 virus removal was achieved by 10 mg/l



concentration of Fe by decreasing the pH value of the iron solution to 6.3, followed by MF (Zhu et al. 2005).

The most commonly used disinfection agents are chlorine and ozone, which have been tested to effectively inactivate pathogens from drinking water. Chlorination can be achieved by using chlorine or its compounds such as chloramine or chlorine dioxide (Samson et al. 2012; Schmidt et al. 2000). Chlorine is a strong oxidant which can effectively kill many pathogens in drinking water. Liquefied chlorine gas is dosed into water by a chlorinator that can control and measure the gas flow rate (WHO. 2011). To avoid the potential release of toxic chlorine gas, sodium hypochlorite and calcium hypochlorite are more widely utilized. Hypochlorite releases free chlorine on contact with water (Schmidt et al. 2000; WHO. 2011). Three doses (8, 16, and 30 mg/l) of free chlorine released by 14% (w/v) sodium hypochlorite were studied for inactivation of bacteria model *Escherichia coli* (*E.coli*) and *Enterococcus faecali* (*E. faecalis*) and virus model MS2 virus and poliovirus (Tree et al. 2003). It was reported that chlorine can rapidly and completely inactivate *E.coli* and *E. faecalis* by more than 5 LRV (Tree et al. 2003). However, for virus, chlorine at all three doses can only inactivate poliovirus by 2.8 LRV and inactivate MS2 virus by 0.2 to 1 LRV (Tree et al. 2003). Chlorine dioxide ( $\text{ClO}_2$ ) was reported to effectively inactivate adenovirus 40 (AD40, an enteric adenoviruses present in ground water) and feline caliciviruses (FCV, a surrogate for noroviruses present in ground water) from buffered, disinfectant free water by 4 LRV (Thurston-Enriqueza et al. 2005). In addition, the viral inactivation was improved at a higher pH value of 8 and higher temperature of 15°C (Thurston-Enriqueza et al. 2005). In addition to pathogenic disinfection, chlorine also acts as an oxidant and can oxidize some dissolved pollutants (e.g. manganese (II) and arsenite) to form insoluble products which can be removed by subsequent filtration (WHO. 2011). However, one major drawback of chlorination is that chlorine can react with NOM in the water to form trihalomethanes and other halogenated disinfection by-products, which are suspected to be carcinogenic (Komulainen 2004).

Ozone is an unstable molecule which readily gives up one atom of oxygen. It is a powerful oxidant acting as a very strong, broad spectrum disinfectant against pathogens from drinking water and a reactant with NOM to increase the biodegradability of NOM (Geering 1999; WHO. 2011). To use ozone as a disinfectant, the ozone-enriched air is dosed directly into the water through specially-designed porous diffusers at the base of baffled contactor tanks (WHO. 2011). It was reported that ozone is very effective in inactivating FCV and AD40 in treated water (Thurston-Enriqueza et al. 2005). FCV was reduced by 1.85 LRV within 15 s by 0.06 mg/l of ozone and achieved more than 4.28 LRV when exposing to 1.00 mg/l of ozone within 15 s (Thurston-Enriqueza et al. 2005). 0.3 ml/l of ozone was able to inactivate AD 40 by 2.63 LRV within 15 s and achieved 3.28 LRV of AD 40 with longer contact time to 2 min (Thurston-Enriqueza et al. 2005). 0.37 mg/l of ozone was reported to inactivate Norwalk virus (NV) in drinking water at pH 7 by 3 LRV within a contact time of 10 s and by 4 LRV within 5 min (Shin and Sobsey 2003). 0.37 mg/l of ozone showed a higher inactivation of poliovirus 1 by 5 LRV

and MS2 virus in water by 7 LRV within a contact time of 10 s (Shin and Sobsey 2003). Though fewer by-products are formed by ozonation, ozone can react with bromide ions in water to produce concentrations of the suspected carcinogen bromate (Neemann et al. 2004).

### **2.1.2 Adsorption methods**

Activated carbon and ions adsorption methods have been used for the removal of pathogens and NOM (Gerba et al. 2008; You et al. 2005). Activated carbon is a porous material used in either powdered or granular form with a large surface and a high affinity for organic compounds (Gerba et al. 2008; Huhn 1985). Powdered activated carbon (PAC) can be dosed as a slurry into the water and removed by subsequent filtration techniques. Granular activated carbon (GAC) is typically found in fixed-bed absorbers more efficiently than PAC. Activated carbons are typically utilized for taste and odor control (Huhn 1985; WHO. 2011). GAC filtration was reported to not be able to remove MS2 virus, but removed the protozoan cysts of *Cryptosporidium parvum* and *Giardia lamblia* by 1.3 to 2.7 LRV (Hijnen et al. 2010). A type of super-powdered activated carbon (S-PAC) achieved 4 LRV of MS2 virus after contacting for 8 h (Matsushita et al. 2013). In general, chemicals with low-solubility are well adsorbed by activated carbon but this is not considered a typical virus removal step (Yang et al. 2010).

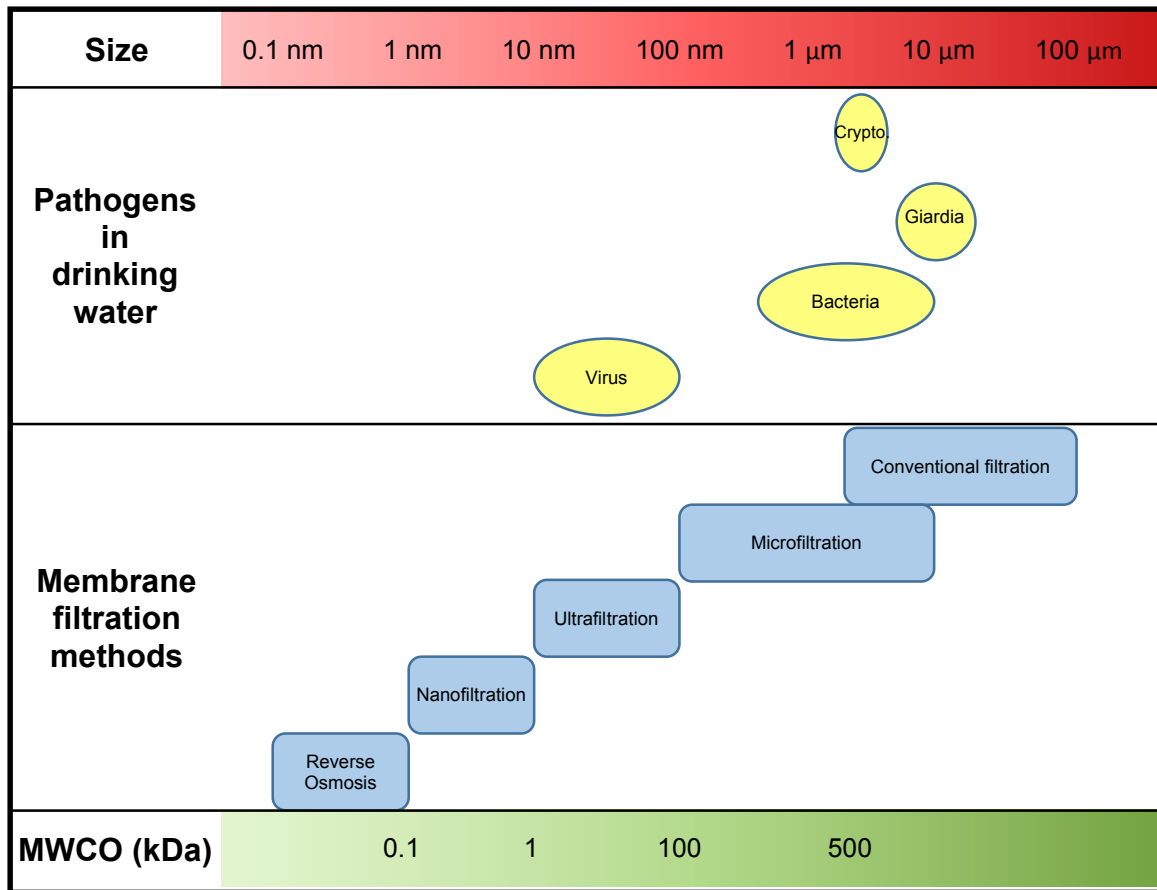
Ion exchange and adsorption method can remove dissolved ions and other charged contaminants from drinking water (You et al. 2005). Ion exchange is a process in which dissolved contaminated ions in water are replaced with other similarly charged beneficial ions by reversible chemical reactions (WHO. 2011). Most ion exchange and adsorption processes in water purification operate in a continuous mode and occur as water passes through a packed-bed of ion exchange resin or adsorption media (WHO. 2011). Anion exchange can be used for removal of contaminants such as nitrate, fluoride, arsenate and uranium and NOM (Katsoyiannis and Zouboulis 2013), while cation exchange can be used for removal of certain heavy metals (Choong et al. 2007). Ion adsorption processes can also be used to adsorb charged contaminants like bacteria and viruses (Gutierrez et al. 2009; You et al. 2005). Studies have shown that positively-charged surfaces can adsorb negatively-charged bacteria and virus (Bjorge et al. 2009; Gutierrez et al. 2009; Li et al. 2009). For virus, it has been reported that hydrophobic polycationic coatings of N, N-dodecyl, methyl-PEI were able to quickly and efficiently disinfect aqueous solutions of non-enveloped poliovirus or rotavirus (Larson et al. 2011) and inactivate enveloped influenza virus (Hsu et al. 2011). A novel ion adsorber was capable of greater than 4 LRV of mouse minute virus (MMV), xenotropic murine leukemia virus (MLV) and simian virus 40 (SV40) (Phillips et al. 2005). The adsorber contained eight layers of hydrophilic PVDF base membrane, derivatized with a quaternary amine ligand providing anion exchange capacity to the filter matrix (Phillips et al. 2005). Also explored were trimeric peptide ligands (WRW and KYY), which removed all detectable porcine PPV from solutions by 4.5 to 5.5 LRV (Heldt et al. 2008). The small peptides have two hydrophobic and one positively charged amino acid (Heldt

et al. 2008). For bacteria, the cationic sites of the N, N-dodecyl, methyl-polyurethane (Quat-12-PU) were able to adsorb anionic sites of the cell-wall of microbes by electrostatic interactions (Park et al. 2013). Quat-12-PU nanoparticles coated on glass slides were reported to have a 7.78 LRV for waterborne *staphylococcus aureus* (*S. aureus*) and 7.76 LRV for *E. coli* bacteria (Park et al. 2013). However, for the negatively charge adsorber, it showed poor virus removal result. A 0.22  $\mu\text{m}$  pore size, modified PVDF membrane filter with a negatively-charged surface was reported to remove less than 0.5 LRV MS2 virus (Zhu et al. 2005). One negatively-charged commercial GS9034 microfilter was reported to remove only 1 LRV of MS2 virus from water (Ma et al. 2011). The major disadvantage of ion absorber is the low pathogen capacity of the adsorbers.

### 2.1.3 Physical membrane filtration methods

In recent decades, membrane filtration has been widely used for the reduction of contaminates from drinking water. The main advantages of physical membrane filtration methods are no disinfection by-product production, relatively high efficiency, low-cost and low-energy consumptions (Sato et al. 2011). Major membrane filtration methods for purification of water can be categorized as MF, UF, NF and RO, according to their pore sizes of membranes (Sato et al. 2011). Historically, membranes have also been characterized by a molecular weight cutoff (MWCO), expressed in units of Dalton (Da), showed the ability of membranes to retain larger organic macromolecules (EPA. 2005).

The ability of each of type of membrane filtration method to remove pathogens of viruses, bacteria, Cryptosporidium, and Giardia cysts from drinking water based on sizes is illustrated in **Figure 2.2** (Antony et al. 2012; EPA. 2005; NDWC. 1999). It shows that MF, UF, NF, RO remove pathogens which are showed approximate size range by size-exclusion filtration. Overlap of the range covered by a membrane filtration method with a given pathogen size range indicates the ability of the membrane to remove the pathogen. Noted that the MWCO listed roughly depicted as a result of the fact that NF, UF and RO filtration methods rated.



**Figure 2.2** Membrane filtration methods guide for pathogens removal

MF membranes generally have an average pore size range of 0.1-10 μm (Bjorge et al. 2009), a MWCO of greater than 100 kDa (Antony et al. 2012) and a relatively low trans-membrane pressure ranging from 100 to 400 kPa (15 to 60 psi) (NDWC. 1999). MF can utilize a pressurized system but a pressure driven flow is not required for MF operation. MF can remove particulate contaminants like sand, silt and clays from drinking water and it can remove some selected bacteria and parasites (Bjorge et al. 2009). However, it is not an absolute barrier to virus (10-100 nm) based on size-exclusion filtration (Bjorge et al. 2009; NDWC. 1999). Earlier studies showed that MF membranes (pore size 0.45 μm) were able to reduce 2 to 4 LRV of bacteria (Ghayeni et al. 1999; Gomez et al. 2006) but was not able to remove MS2 virus (Fiksdal and Leiknes 2006).

UF membranes are usually considered to have an average pore size range of 0.01-0.1 μm (Sato et al. 2011), an MWCO approximately 10 to 100 kDa (EPA. 2005) and a feed-water operating membrane pressure ranging from 200 to 700 kPa (30 to 100 psi) (NDWC. 1999). UF membranes appear to act as an absolute barrier to all bacteria and parasites by size-exclusion filtration but is not capable of removing all virus (Antony et al. 2012). Cellulose UF membrane (pore size 10 nm) was able to remove more than 6

LRV of MS2 virus (diameter 25-30 nm) as well as Q $\beta$  virus (diameter 25 nm and pI 5.3 (Antony et al. 2012)) (Langlet et al. 2009). Polyethersulfone UF membrane (pore size 25 nm) achieved more than 4.89 LRV of MS2 virus and 3.25 LRV of Q $\beta$  virus (Langlet et al. 2009). Both the MS2 virus removal and Q $\beta$  virus removal increase with the decrease of mean pore size. A 20 nm planova filters were reported to remove PPV (diameter 18-26 nm) by 5.1 LRV and HAV (diameter 25-30 nm) by 3.4 LRV (Furuya et al. 2006). However, there is significant fouling of these small-pored UF membranes that can lead to reductions in production rates. In addition, UF membranes are effective for removal of some NOM and have the problems of membrane fouling mainly due to accumulation of NOM (Matilainen et al. 2011).

NF is a pressure driven membrane separation process for removal of contaminants within submicron scales (Patterson et al. 2012). NF membranes have a MWCO of 200 to 1,000 Da and a rather high operating pressure of 600 to 1,000 kPa (90 to 150 psi) (NDWC. 1999). NF membranes can remove parasites, bacteria, viruses and human wastes but they require a rather high operating pressure and have serious problem of membrane fouling (Marques et al. 2009; NDWC. 1999). Composite thin-film - polypeprizine NF membrane (140-300 Da) was reported to remove 5.4-6.1 LRV of MS2 virus and remove 6.1- 6.5 LRV PRD1 phage (100 nm) (Lovins et al. 2002). A type of ES404 NF membrane (MWCO of 4,000 Da) showed a 4.1 LRV, up to complete removal, which was more than 5.3 LRV of MS2 virus and an AFC30 membrane with MWCO of 350 Da showed 4.2 LRV of MS2 virus from source water in Minnesota (Patterson et al. 2012). The field tests in Minnesota also showed that all the NF membrane (MWCO ranging from 350 to 4,000 Da) tested are capable of removing a *Bacillus subtilis* (*B. subtilis*) by 4.3 LRV, up to complete removal, which was more than 6.9 LRV (Patterson et al. 2012).

Both MF, UF and NF remove contaminants from water by a sieving mechanism based on the size of the membrane pores relative to that of contaminants (EPA. 2005; Van der Bruggen and Vandecasteele 2003). But RO operates on the principle of diffusion rather than sieving as with MF and UF membranes (EPA. 2005). RO uses a semi-permeable membrane to separate contaminants from drinking water (EPA. 2005; NDWC. 1999). RO is the reversal of the natural osmotic process. The process of RO is accomplished by applying high pressure, which is in excess of the osmotic pressure, to force the water from the more concentrated solution to lower concentrated solution on the opposite side (EPA. 2005; Mazloomi et al. 2009). RO membranes have a MWCO of less than 100 Da and a higher exerted pressure on the high concentration side of membranes up to 1000 psi (EPA. 2005; Mazloomi et al. 2009). An RO membrane was reported to have a high, although incomplete, removal of MS2 virus up to 4.8 LRV (Kruithof et al. 2001). RO can effectively remove all of the contaminants from drinking water but it has a high capital and operating costs, requiring high exerted pressure and is prone to high membrane fouling (EPA. 2005; NDWC. 1999; Plakas and Karabelas 2012).

## 2.2 Electrospinning

Electrospinning is a technique for producing continuous polymeric fibers on the nanometer scale using an external electric field (Ignatova et al. 2007). Electrospinning has not been extensively studied until 1990s, though it was patented by Formhals in 1934 (Tucker et al. 2012). It was possibly due to a large variety of polymers that were found that can be successfully electrospun, an improvement in analytical tools allowing for observing and characterizing nanofibers and the unique advantages of electrospinning to produce nanofibers compared with other techniques for producing nanofibers that accounted for its growth (Schiffman and Schauer 2008).

Electrospinning was found to be one of the most efficient, simple, controlled and versatile techniques to fabricate nanofibers, though other techniques like drawing, template synthesis, phase separation and self-assembly can also be used to produce nanofibers (Subbiah et al. 2005; Zhang et al. 2005). Nanofibers are desired due to their high surface-to-volume ratios, large pore sizes and easy surface functionality (Frenot and Chronakis 2003; Huang et al. 2006; Ma et al. 2011). The diameters of nanofibers can be easily controlled by changing the polymer solution and electrospinning process parameters. These nanofibers are of considerable interest for diverse applications in filtration, tissue engineering, wound dressing, drug delivery, enzyme immobilization, biosensors and other industrial area (Desai et al. 2009; Haider and Park 2009; Huang et al. 2007; Jiang et al. 2004; Lu et al. 2012; Manesh et al. 2007; Yang et al. 2008). The applications except for filtration have been summarized in the following **Table 2.1**. Obviously, as shown in the following table, there is great potential for the electrospun nanofibers to be used as ingredient carriers, as packaging materials and as sensors.

**Table 2.1** Typical applications of electrospun nanofibers

Application area	Examples	Characteristics	Reference
Tissue engineering	The growth and attachment of mouse fibroblast on the surface of chitosan/PVA/Hydroxyapatite nanofibrous scaffolds showed that the nanofibrous structure can mimic the nanoscale features of the extracellular matrix.	Composite membranes can positively mimic natural extracellular matrices and show the potential for tissue regeneration scaffolds.	(Yang et al. 2008)
Wound dressing	Chitosan-PVA doping with graphene nanofibers were shown to heal the wound of mice within 15 days and rabbits within 10 days rapidly and completely.	Composite nanofibers increased the rate of wound healing and were beneficial for	(Lu et al. 2012)

		the reduction of wound.	
Drug delivery	Quaternized chitosan/poly (L-lactide-co-D, L-lactide) containing antitumor drug doxorubicin hydrochloride nanofibers led to significant reduction in the HeLa cells viability and increase the antitumor efficacy.	Electrospun nanofibers are better drug delivery system than the bulk materials because of high specific surface area, short diffusion passage length and controlled drug release profile.	(Ignatova et al. 2010)
Enzyme immobilization	Lipase from <i>Candida rugosa</i> was immobilized on the chitosan/PVA membranes utilizing glutaraldehyde as coupling reagent showed an improvement in thermal stability, reusability and storage stability.	Large pore sizes tailored to protein molecules dimensions, functionalized surfaces, multiple sites form interaction or attachment of electrospun membrane made it excellent supports for enzyme immobilization.	(Huang et al. 2007)
Biosensors	Electrospun PVDF and poly (aminophenylboronic acid) nanofibrous membrane sensor showed an accuracy with an error of less than 5% and an extremely rapid glucose response.	Large surface areas of nanofibers adsorbed high concentrations of analyte rapidly, thus making them more sensitive than conventional system.	(Manesh et al. 2007)

### 2.2.1 Functionalized Electrospun membrane as filtration media

Recently, functionalized electrospun membranes that can remove several contaminants from drinking water by adsorption and filtration have been explored.

Electrospun nanofibers are suitable to be used as filtering media in water filtration applications due to their unique properties. More specified, they can be used in low

pressure filtration due to the pore sizes of electrospun nanofibers belonging to the range of average pore sizes of MF (0.1-10  $\mu\text{m}$ ) (Bjorge et al. 2009). MF has the advantages of high water flux, low membrane fouling and low backpressures (Bjorge et al. 2009). MF can remove particulate contaminants and bacteria larger than 0.2  $\mu\text{m}$  in diameter (Sato et al. 2011). However, it cannot remove virus, smaller in size by a factor of 10 or more, based on size-exclusion filtration (Sato et al. 2011). Thus, functionalized electrospun membranes can overcome the drawbacks of MF by removing virus with an adsorption mechanisms while keeping the benefits of a MF membrane. Functionalized electrospun membranes based on MF have an appropriate pore sizes to sieve bacteria and a suitable static charge to adsorb viruses while maintaining high fluxes.

Electrospun polyacrylonitrile (PAN) nanofibers with a pore size of 0.3  $\mu\text{m}$  infused with ultra-fine cellulose-nanofibers with a negatively charged surface showed a reduction of MS2 virus by  $1.6 \pm 0.2$  LRV at pH 7.2 when MS2 was negatively charged (Ma et al. 2011). Moreover, the nanofibrous membranes exhibited an LRV of 3.7 against MS2 virus when MS2 was slightly positively charged at pH 3.5 (Ma et al. 2011). Furthermore, electrospun PAN (pore size 0.2  $\mu\text{m}$ ) infused with polyethylenimine (PEI) modified ultra-fine cellulose-nanofibers reached a removal of MS2 virus to 4 LRV (Sato et al. 2011). The increase of the virus capture was because the PEI imparted the membrane with a positively charged surface which can bind negatively charged MS2 virus in water (Sato et al. 2011).

For removal of bacteria, the impregnated negatively-charged ultra-fine cellulose-nanofibers into electrospun PAN nanofibers (pore size of 0.3  $\mu\text{m}$ ) showed a full retention capability against *E. coli* bacteria (2.0  $\mu\text{m}$  long and 0.5  $\mu\text{m}$  in diameter) by 6 LRV and against *Brevundimonas diminuta* (*B. diminuta*, 0.9  $\mu\text{m}$  long and 0.3  $\mu\text{m}$  in diameter) by 6 LRV (Ma et al. 2012). The electrospun PAN nanofibers (pore size of 0.2  $\mu\text{m}$ ) infused with PEI modified ultra-fine cellulose-nanofibers had a retention rate of 6 LRV for *E. coli* bacteria removal (Sato et al. 2011). Silver functionalized electrospun polyamide (PA) (pore size 0.2 - 0.4  $\mu\text{m}$ ) membrane was reported to achieve 4 LRV of coliform bacteria in rainwater compared to non-functionalized electrospun PA membrane for removal of 2.24 LRV of coliform bacteria in rainwater (Bjorge et al. 2009). The increase of bacterial capture by functionalized membrane was due to the adsorption of the negatively charged bacterial cell wall by silver ion ( $\text{Ag}^+$ ) on nanofibers leading to disrupting membrane permeability and lysis of the cell (Bjorge et al. 2009).

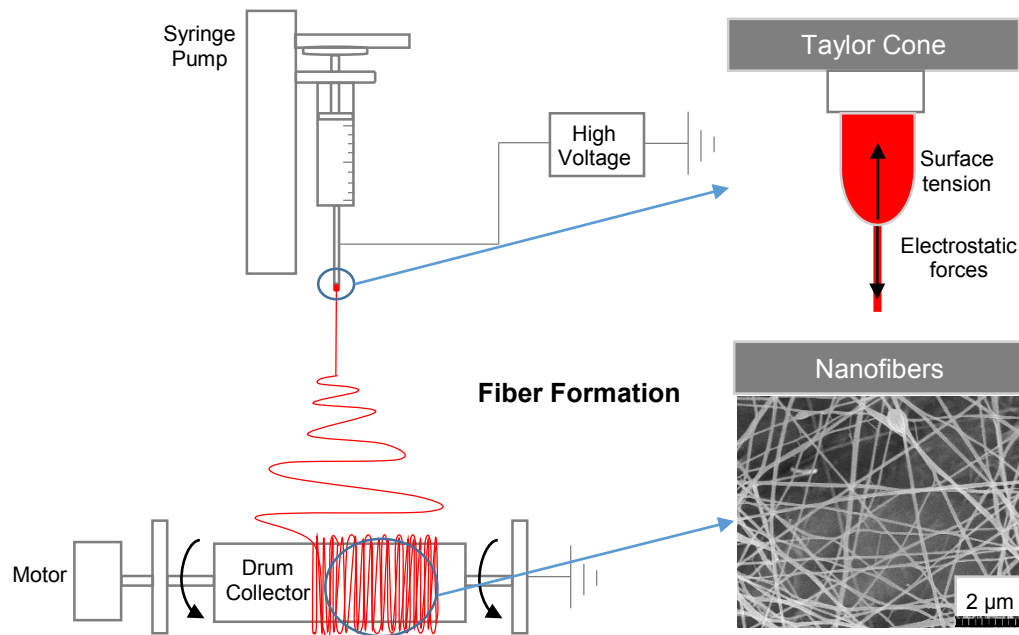
### 2.2.2 Setup and mechanism of electrospinning

A schematic setup of a typical laboratory electrospinning apparatus is shown in **Figure 2.3** (Wallace et al. 2012). In this setup, a polymer solution is placed in a syringe with a needle. The syringe is placed in a syringe pump which allows adjustment and precise control of the flow rates of the polymeric electrospinning solution. The polymer solution is pumped through the needle, which is connected to a positive DC high voltage power supply. The ground is connected to a target rotating drum collector or a target plate



(not shown in **Figure 2.3**) and this creates an electric field between the needle tip and the target. When the described electrospinning apparatus is utilized, defect-free nanofibers can be collected on the target (Schiffman and Schauer 2008).

The mechanism of creating defect-free electrospun nanofibers is due to a balance of forces. Initially, the polymer surface at the end of the needle forms a droplet and the droplet is held at the needle tip by the surface tension of the liquid prior to application of the external electric field (Schiffman and Schauer 2008). When a high voltage is applied to the droplet, the surface tension is overcome by the electrostatic forces and the droplet is stretched. At a critical voltage, the stretched droplet develops into a cone, known as a “Taylor Cone” (Pillay et al. 2013; Taylor. 1969). When the applied voltage is sufficient high for the electrostatic forces to overcome the surface tension of the liquid, a charged polymer solution jet is ejected from the tip of the Taylor Cone (Liang et al. 2007). As the jet travels through the air, the charges accumulate on the jet and may be unevenly distributed leading to a whipping or bending motion of the jet (Li and Xia 2004; Schiffman and Schauer 2008). The solvent rapidly evaporates and uniform fibers are collected on the grounded collector (Vondran et al. 2008). It should be noted that the jet may break apart into little droplets in which case polymer particles rather than fibers collected on the target if the surface tension is too low (Hogan and Biswas 2008).



**Figure 2.3** Schematic of a typical laboratory electrospinning setup

### 2.2.3 Polymer solution parameters

There are many solution and process parameters that govern the outcome of morphology of collected nanofibers. Polymer solution parameters mainly include viscosity, conductivity and surface tension.

One of the principal parameters that impacts the process of electrospinning is viscosity of the polymer solution, which depends on polymer concentration and polymer type. The process of electrospinning is based on stretching of a charged jet of polymer solution (Greiner and Wendorff 2007). If the viscosity of polymer solution is low, the charged jet may break apart into discrete droplets before reaching the target collector. The low viscosity results in low surface tension, which cannot counter-balance the electrostatic forces (Duan et al. 2004; Hogan and Biswas 2008). A more viscous solution has a higher surface tension. At an increased viscosity, the chain entanglement between polymer chains increases and improves the creation of nanofibers (Ignatova et al. 2007). However, if the viscosity of the polymer solution is beyond a certain limit, it will obstruct the flow of the polymer solution through the capillary (Duan et al. 2004; Greiner and Wendorff 2007). It was reported that an aqueous poly(ethylene oxide) (PEO) solutions can be successfully electrospun to nanofibers between 800 and 4000 cp (Doshi and Reneker 1995). The nanofiber jet breaking into droplets was observed below 800 cp and failure of forming nanofibers appeared beyond 4000 cp (Doshi and Reneker 1995). In addition, the viscosity of poly (desaminotyrosyl-tyrosine ethyl ester carbonate) electrospinning solution on fiber formation was also studied. Mainly droplets were reported to form at a low viscosity of 2.5 cp (Meechaisue et al. 2006). Nanofibers were collected with the increase of viscosity to 14.8 cp and 50.5 cp (Meechaisue et al. 2006). Thus, successful electrospinning of nanofibers only occur in an optimum range of solution viscosity.

Another key parameter that affects electrospinning process is conductivity of the polymer solution. An increase of conductivity by the addition of ionic salts or polyelectrolytes will lead to more charges carrying along the jet and an increase in electrostatic repulsion between surface charges or elongation forces (Son et al. 2004). As a result, the jet is further stretched and this reduces the fiber diameters (Alipour et al. 2009). An increase of conductivity of 8% (w/w) N-butyl-N, N-dimethyl chitosan iodide/PVA electrospinning solution due to addition of ionic composition led to a significant decrease of the electrospun nanofibers from 200 nm to 70 nm at 1.5 kV/cm (Ignatova et al. 2006).

In general, a successful electrospinning of polymer solutions must be the result of the proper balance of viscosity, surface tension and conductivity that can lead to the formation of continuous fibers.

## 2.2.4 Electrospinning process parameters

Electrospinning process parameters include voltage, feed rate and tip-to-collector distance. As previously mentioned, the applied voltage is crucial to ensure the stability of the Taylor Cone and determines the Coulombic force that induces the expulsion of a polymer jet (Li and Xia 2004; Liang et al. 2007; Taylor. 1969). An increase in the applied voltage will increase the charge density and cause the jet to accelerate faster and to stretch more, usually resulting in a reduction in the fiber diameter (Ignatova et al. 2006; Lee et al. 2007). The average diameter of electrospun 12 % (w/w) 5:5 HTCC-PVA nanofibers was found to increase significantly from 140 nm to 315 nm with a decrease in applied voltage from 20 to 10 kV (Alipour et al. 2009). However, in some cases an increase of the applied voltage results in an increase in the fiber diameter (Ignatova et al. 2007). It was reported that an increase of the voltage if all other variables except the applied voltage remained constant, will lead to an increase of N-butyl-N, N-dimethyl chitosan iodide/poly(vinyl pyrrolidone) (PVP) fiber diameters (Ignatova et al. 2007). This may be due to an increase flight speed of the jet and allowing for less time for the jet to evaporate the solvent, resulting in an increase in the diameter of fibers (Zhao et al. 2004).

An increase in the flow rate usually results in an increase in fiber diameter (Han et al. 2008). High feed rates exceeding a critical value can result in forming junction zones, where fibers interact each other, between the collected polymer strands (Megelski et al. 2002). It may be due to insufficient time available for all of the nanofibers to dry completely before reaching the collector, which will cause the fusion of fibers (Megelski et al. 2002). On the contrary, if the feed rates of the polymer solution is too low, there will be no consistent replacement of the lost solution which was withdrawn into nanofibers to maintain the Taylor Cone shape at the needle tip (Pillay et al. 2013). This will result in lower fiber formation on the collected target.

The tip-to-collector distance affects the morphology of the collected nanofibers but its effect is less profound compared with the previous two processing parameters. It was found that elongated beads started to form along the electrospun polystyrene fibers after reducing the working distance by only 5 cm, though the fiber diameter did not change significantly (Megelski et al. 2002). In previous report, the voltage combined with the tip-to-collector distance, which changes the overall voltage density, can influence the morphology of formed nanofibers (Cramariuc et al. 2013). It has been reported that the diameter of electrospun 8% (w/w) 3:2 N-butyl-N, N-dimethyl chitosan iodide/PVA fibers slightly decreased from 70 nm to 60 nm on increasing the voltage density from 1.5 kV/cm to 3.5 kV/cm (Ignatova et al. 2006).

## 2.3 Chitosan and quaternized chitosan (HTCC)

Chitosan (chemical structure shown in **Figure 2.4A**) is a polycationic polymer and the N-deacetylated derivative of the natural polymer chitin, the second most abundant polysaccharide found on earth next to cellulose (Alipour et al. 2009; Ignatova et

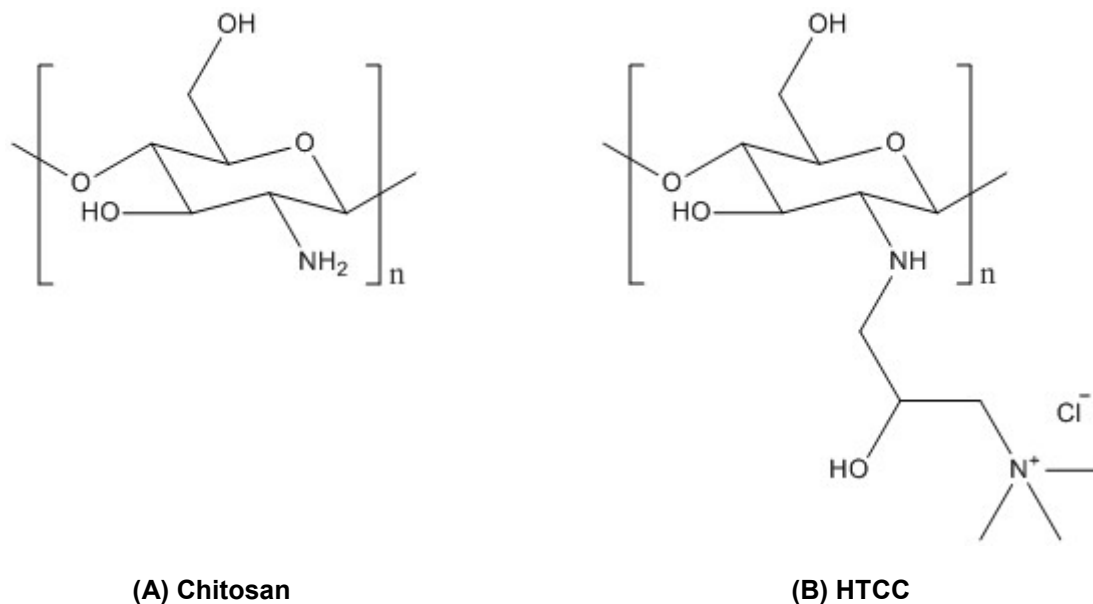
al. 2007). Chitosan is insoluble in water and common organic solvents because of its rigid crystalline structure. It is soluble in acidic aqueous solution if the pH value is less than 6.5 (No et al. 2007). At a higher pH value, the molecule of chitosan may precipitate out of the solution and lose its charges because of deprotonation of the amine groups (No et al. 2007).

Chitosan is well known to be non-toxic, biocompatible, biodegradable, biofunctional and hydrophilic. (Deng et al. 2012; Huang et al. 2007; Ignatova et al. 2006; Li et al. 2008). Chitosan could be used as an antimicrobial and antiviral material in the field of biotechnology, pharmaceuticals, wastewater treatment, cosmetics, agriculture, food science, and textiles because of its advantageous biological properties (Li et al. 2008; Lim and Hudson 2004; Lu et al. 2012). However, this activity of chitosan against pathogens is limited to acidic conditions due to its poor solubility above pH value of 6.5, where chitosan starts to lose its polycationic nature (Alipour et al. 2009; Li et al. 2008). Therefore, the preparation of water-soluble chitosan derivatives with an improved water solubility over a wide pH range was studied for their antimicrobial and antiviral activity (Alipour et al. 2009; Ignatova et al. 2007; Ignatova et al. 2006).

Among the various chitosan derivatives, the derivatives with quaternary ammonium groups have shown higher efficient activity against bacteria as compared to those of chitosan (Ignatova et al. 2007; Ignatova et al. 2006; Li et al. 2008). Quaternized chitosan HTCC (chemical structure shown in **Figure 2.4B**) (Alipour et al. 2009; Deng et al. 2012; Lim and Hudson 2004), N–N-propyl-N, N-dimethyl chitosan (Jia et al. 2001) and N-butyl-N,N-dimethyl chitosan iodide (Ignatova et al. 2007; Ignatova et al. 2006) showed enhanced antimicrobial activity compared with chitosan (Lim and Hudson 2004; Rabea et al. 2003). It was reported that the minimum inhibitory concentrations (MIC) of HTCC against *S. aureus* and *E. coli* were 400 µg/ml and 600 µg/ml compared with the MIC of chitosan for *S. aureus* and *E. coli* were 1500 µg/ml and 3000 µg/ml, respectively (Alipour et al. 2009). This indicates that the antibacterial activity of HTCC was much stronger than that of chitosan. Besides, the antibacterial activity of N–N-propyl-N, N-dimethyl chitosan against *E. coli* was shown 20 times that of chitosan (Jia et al. 2001). This may be due to enhanced positively charged quaternary amine group, known to targeted on the negatively charged cytoplasmic membrane of microbes, altering membrane properties and impeding nutrients entering the cells (Li et al. 2008; Rabea et al. 2003).

Chitosan as well as chitosan derivative showed anti-pathogenic effects towards bacteria, viruses and fungi (Deng et al. 2012; Elsabee et al. 2012; Li et al. 2008; Li et al. 2011; Lu et al. 2012; Rabea et al. 2003), but exact mechanism of the anti-pathogenic activity of chitosan and its derivative is still uncertain (Deng et al. 2012). Various mechanisms have been proposed (Li et al. 2008; Rabea et al. 2003). One reasonable mechanism involves interaction between positively charged chitosan and quaternized chitosan molecules and negatively charged microbial cell membranes, causing a change in membrane permeability and the leakage of intracellular constituents of microbial cells

(Li et al. 2008). Another mechanism is that at a lower concentration, the positively charged molecule probably bind to the negatively charged bacterial or virus surface to cause agglutination, while at higher concentrations, larger amounts of positive charges possibly impart a net positive charge to the bacterial or virus surfaces to keep them in suspension (Rabea et al. 2003).



**Figure 2.4** Chemical structures of chitosan and HTCC

HTCC and hydrophobically-modified HTCC compounds were shown to inhibit the replication of the human coronavirus NL63 (HCoV-NL63). Furthermore, both polymers also showed an inhibition activity against murine hepatitis virus (MHV) (Milewska et al. 2013). Long double-stranded (ds) RNA formulated with quaternized chitosan derivative was shown to inhibit yellow head virus (YHV) propagation in *Spodoptera frugiperda* 9 (Sf9) cells with minimal cytotoxicity (Theerawanitchpan et al. 2012).

This thesis describes functionalized electrospun membranes based on MF with a suitable electrostatic charge to adsorb viruses while maintaining high flux permeation. HTCC carries a positively charged quaternary amine, known to bind negatively charged microbes and virus, which can be used as a functionalized membrane material. To determine the ability of electrospun membranes to remove virus from water, PPV, one of the smallest known mammalian viruses, was chosen as a model virus in virus clearance studies. The electrospun HTCC nanofibers were studied for their physical characterization and virus removal ability.

## 3 Materials and Methods

### 3.1 Materials

Chitosan (75-85% deacetylated,  $M_w = 190,000 - 310,000$  Da), glycidyltrimethylammonium chloride (GTMAC) (technical grade,  $\geq 90\%$ ), silver nitrate (ACS grade,  $\geq 99.0\%$ ), potassium chromate (ACS grade,  $\geq 99.0\%$ ), deuterium oxide (99.9 atom % D) and trifluoroacetic acid-d (99.5 atom % D) were all purchased from Sigma-Aldrich (St. Louis, MO).

For electrospinning, PVA (99% hydrolyzed,  $M_w = 89,000 - 98,000$  Da) and sodium dodecyl sulfate (SDS) (for molecular biology,  $\geq 98.5\%$ ) were purchased from Sigma-Aldrich (St. Louis, MO).

To crosslink HTCC nanofibers, triethylene glycol diacrylate (TEGDA) was purchased from VWR (Radnor, PA). 2, 2-dimethoxy-2-phenylacetophenone (DMPA) (99%), ammonium persulfate (ACS grade,  $\geq 98.0\%$ ), maleic acid ( $\geq 99.0\%$ ), glutaraldehyde solution (Grade I, 70% in  $H_2O$ ) and glycine (for molecular biology,  $\geq 99.0\%$ ) were purchased from Sigma-Aldrich (St. Louis, MO).

Minimum essential medium (MEM), penicillin-streptomycin, trypsin (0.25%) and phosphate buffered saline (PBS) (pH = 7.2) were purchased from Life Technologies (Grand Island, NY). Fetal bovine serum (FBS) was purchased from Atlanta Biologicals (Grand Island, NY). MTT agent, thiazolyl blue tetrazolium bromide ( $\geq 97.5\%$ ) was purchased from Sigma-Aldrich (St. Louis, MO). Hydrochloric acid (HCl) (12.1 M) was purchased from VWR (Radnor, PA).

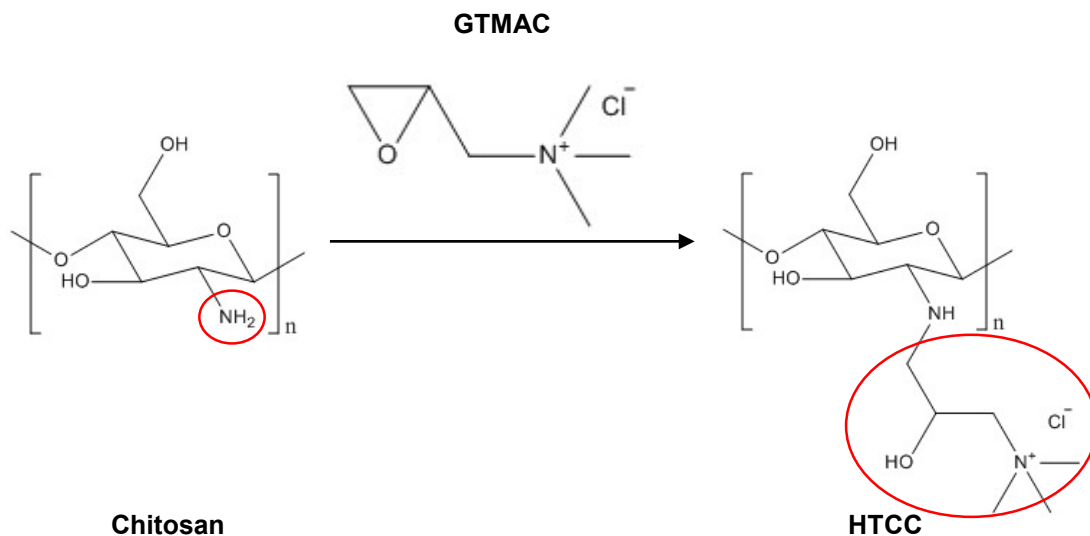
All aqueous solutions were prepared using purified water with a resistivity of  $\geq 18$   $M\Omega \cdot cm$  with a Nanopure filtration system (Fisher Scientific, Pittsburgh, PA).

### 3.2 Methods

#### 3.2.1 Synthesis and characterization of HTCC polymer

HTCC was synthesized according to a known method (Lim and Hudson 2004). The scheme for the synthesis of HTCC is shown in **Figure 3.1** (Alipour et al. 2009). First, chitosan (6 g, 37.0 mmol) was dispersed in Nanopure water (240 ml) in a 500 ml three-neck round-bottom flask. GTMAC (16.82 g, 111 mmol) was added in three portions (7.1 ml each) at 2-h intervals, and the mixture was stirred at  $85^\circ C$  with an oil bath for 10 h. Then, the reaction solution was dialyzed for 3 days with Fisherbrand 3.5 kDa MWCO regenerated cellulose dialysis tubing (Fisher Scientific, Pittsburg, PA), against water to remove any unreacted GTMAC. Unreacted chitosan was removed by vacuum filtered with a Buchner funnel containing a fine porosity fritted disc. The clear

solution was concentrated under vacuum with a Buchi R-200 rotavapor (New Castle, DE) at 70°C. The concentrated solution was precipitated in cold acetone (250 ml) using an ice bath and washed twice with acetone. The precipitate was dried at 110°C for 12 h to obtain the final product.



**Figure 3.1** Schematic of synthesis for HTCC

To measure the degree of quaternization (DQ) of HTCC, the amount of chloride on the HTCC was titrated with aqueous silver nitrate solution at room temperature. 1% (w/v) silver nitrate ( $\text{AgNO}_3$ ) solution was poured into a 25 ml burette. Then, 5.0 ml of 1% (w/v) HTCC solution and 4.0 ml of 1% (w/v) potassium chromate ( $\text{K}_2\text{CrO}_4$ ) solution were transferred to a 125 ml Erlenmeyer flask. The silver nitrate solution was added to the 1% (w/v) HTCC solution slowly drop by drop with swirling the flask until the entire solution in the flask turned a very light orange-red color, indicating the endpoint had been reached. The total volume of the titrant (silver nitrate solution) was recorded and the corresponding amount of chloride on the HTCC was calculated. Each sample was titrated three times to obtain an average DQ value. The DQ is calculated by **equation 3.1**,

$$DQ = \frac{0.01 \frac{\text{g}}{\text{ml}} \times V_{\text{AgNO}_3} / M_{\text{AgNO}_3}}{0.01 \frac{\text{g}}{\text{ml}} \times V_{\text{HTCC}} / M_{\text{HTCC}}} \quad (3.1)$$

$V_{\text{AgNO}_3}$  = total volume of  $\text{AgNO}_3$  = initial volume of  $\text{AgNO}_3$  – final volume of  $\text{AgNO}_3$

$V_{\text{HTCC}}$  = volume of HTCC = 5 ml

$M_{\text{AgNO}_3}$  = molecular weight of  $\text{AgNO}_3$  = 170  $\frac{\text{g}}{\text{mol}}$

$M_{\text{HTCC}}$  = molecular weight of HTCC = 312.5  $\frac{\text{g}}{\text{mol}}$

Fourier transform infrared (FTIR) spectra were obtained using a Perkin Elmer Spectrum One FTIR spectrometer (Shelton, CT). Both HTCC crystals and chitosan powder were measured in the solid state. Nuclear magnetic resonance (NMR) spectra were obtained using a Varian 400 MHz NMR wide-bore spectrometer (Santa Clara, CA). HTCC ( $c = 10$  mg/ml) was dissolved in 0.7 ml  $D_2O$ , and chitosan ( $c = 5$  mg/ml) was dissolved in 0.7 ml  $CF_3COOD$ .

### **3.2.2 Preparation, characterization and electrospinning of HTCC solution**

To electrospin HTCC solutions, HTCC was dissolved in Nanopure water at room temperature with gentle stirring for a day in order to prepare a homogeneous solution. A concentration ranging from 5% (w/v) to 10% (w/v) of pure HTCC solution was prepared. Various additives (graphene, SDS and PVA) at various weight ratios were added into the HTCC solution to aid the fiber formation of HTCC. A broad concentration range (from 5 to 15%) of mixed solution was sonicated in a FS-20 sonicator (Fisher Scientific, Pittsburg, PA) at room temperature.

After several trials, PVA was chosen as a suitable additive for producing electrospun HTCC nanofibers. The HTCC-PVA blend electrospinning solution was prepared by mixing 10% (w/v) HTCC solution, with a 10% (w/v) PVA solution that was dissolved in Nanopure water at 85°C with gentle stirring for 5 h, at the weight ratios (HTCC: PVA) ranging from 3:7, 4:6, 5:5, 6:4 and 7:3. The total polymer concentration of the electrospinning solution was 10% (w/v).

Prior to electrospinning, the viscosity of the electrospinning solution was measured using a SV-10 viscometer (Malvern, United Kingdom). The conductivity of the electrospinning solution was measured using an AB-30 conductivity meter (Fisher Scientific, Pittsburg, PA).

The schematic diagram for home-made electrospinning apparatus has been illustrated in the **Figure 2.3**. The electrospinning solutions were placed into a 3 ml, disposal, plastic syringe with a detachable needle (0.6 mm  $\times$  40 mm) (Fisher Scientific, Pittsburgh, PA). The needle was connected to a Glassman positive DC high voltage power supply (High Bridge, NJ), capable of generating voltages in the range of 0 – 30 kV, while the ground was connected to a rotating drum collector covered with aluminum foil running by an Electro Craft Torque power pump (Gallipolis, OH). The electrospun nanofibers were collected on Whatman filter papers circles (West Chester, PA; grade 1, diameter = 1.5 cm & grade 4, diameter = 55 mm), which were taped on the aluminum foil and used to support the nanofibers. A Multi Speed syringe pump (Braintree Scientific Inc., Braintree, MA) was used to feed the solution at a constant speed.

The applied voltage was 20 kV, the tip-to-collector distance was 10 cm and the feed rate of solution was 7.5 or 7.8 ml/h for the HTCC-additive (graphene, SDS and



PVA) mixed solution. For the HTCC-PVA blend solutions, the electrospinning apparatus parameters were set as 20 kV, 5 cm tip-to-collector distance and 4.5 ml/h, unless otherwise stated. The rotational speed of drum collector was set at 1500 rpm for all the solutions.

### **3.2.3 Crosslinking of the HTCC nanofibers**

#### **3.2.3.1 Photo-mediated crosslinking**

Photo-crosslinked HTCC-PVA nanofibers were made as follows (Ignatova et al. 2006). 0.107 g TEGDA, 0.01 g DMPA and 0.01 g ammonium persulfate were dissolved in 0.79 ml dimethyl sulfoxide (DMSO) for final solution concentrations of TEGDA (10.7%) (w/w), DMPA (1%) (w/w) and ammonium persulfate (1%) (w/w). 10 ml 10% (w/w) of HTCC: PVA (3:7) homogeneous electrospinning solution was mixed with the 0.79 ml DMSO homogeneous solution or 0.79 ml of pure DMSO as a control. The total polymer concentration in the electrospinning solution with photo-crosslinkers was 10% (w/w) ( $\text{H}_2\text{O}/\text{DMSO} = 92:8$  w/w). Electrospinning of the mixed electrospinning solutions were conducted immediately after the mixed solutions became homogenous with the same conditions as stated in **Section 3.2.2** for HTCC-PVA blend solutions. In order to perform the photo-crosslinking, both the electrospun membranes with photo-crosslinkers and the membrane containing DMSO solvent were irradiated with a Rayonet RPR-100 ultraviolet (UV) reactor (350 nm, 120 W, Branford, CT) at a distance of 12.7 cm at room temperature for 10 h.

#### **3.2.3.2 Maleic acid crosslinking**

To produce maleic acid crosslinked HTCC-PVA electrospinning solution, a 25 ml 8% (w/w) of HTCC: PVA (3:7) electrospinning solutions was made as previous described (Han et al. 2003; Qin and Wang 2008). 0.5 g maleic acid was added to the solution to make the total content of solution come to 10% (w/w), and several drops of 98% sulfuric acid were added as a catalyst. The solution was heated at 100°C for 1 h with vigorous stirring. After cooling to room temperature, 3 ml of the maleic acid crosslinked homogenous solution was electrospun as stated in **Section 3.2.2** for HTCC-PVA blend solutions.

#### **3.2.3.3 Glutaraldehyde crosslinking**

Both glutaraldehyde solution and glutaraldehyde vapor were attempted to crosslink the HTCC-PVA nanofibers. For the glutaraldehyde solution crosslinking method, the HTCC-PVA electrospun nanofibers were suspended in 25 ml 10% (v/v) glutaraldehyde aqueous solution for 12 h.

To crosslink the electrospun HTCC-PVA nanofibers with glutaraldehyde vapor (Alipour et al. 2009), the nanofibers on filter paper were adhered to the top of a petri dish,

and various concentrations of 400  $\mu$ l glutaraldehyde was dropped in the bottom of the petri dish. The petri dish was placed on top of a digital dry bath (USA Scientific, Ocala, FL) at 37°C for various times. The crosslinked membranes needed pre-treatment to remove the unreacted glutaraldehyde on the filter papers prior to virus removal. The electrospun mats after crosslinking with glutaraldehyde vapor were washed with water for 20 min or washed with 0.1 M glycine (Alipour et al. 2009) for 10 min and water for 10 min, respectively. All the treated samples were dried in a Gold Series DP-32 vacuum drying oven (Ontario, Canada) at 120°C for 1 h.

To determine the stability of crosslinked electrospun HTCC-PVA nanofibers against water, they were filtered with water and were immersed in water for 10 min. All the treated samples were dried in a Gold Series DP-32 vacuum drying oven (Ontario, Canada) at 120°C for 1 h.

### **3.2.4 Characterization of HTCC nanofibers**

The morphology of HTCC nanofibers was observed by a Hitachi S-4700 field emission scanning electron microscope (FE-SEM) (Tustin, CA) which is a cold field, emission high resolution scanning electron microscope. The electrospun fibers on the filter paper were coated with a 5 nm layer of platinum/ palladium using a Hummer 6.2 Sputter Coater (Union City, CA) before examined by FE-SEM. The accelerating voltage for the FE-SEM was 5 kV, and the magnification was from 1,000 $\times$  to 80,000 $\times$ . Each sample was captured with three SEM images at the same magnification. To determine the fiber diameter distribution, 50 random points on the fibers on each SEM-micrographs were calculated with a Nano Measurer and OriginLab software. Three images were used for each sample to get the average diameter and the standard deviation of fibers.

To study the relationship between pore size and fiber diameter, HTCC electrospun membranes were assessed by filtration with 1.01  $\mu$ m and 4.16  $\mu$ m diameter polystyrene latex beads containing Dragon Green fluorescent dye (Bangs Laboratories, Fishers, IN). First, the standard curve of fluorescent polymer beads solution concentration vs. fluorescence was obtained by plotting a known concentration vs. fluorescence obtained with a Synergy Mx monochromator-based multi-mode microplate reader (Winooski, VT) at 480 nm excitation and 520 nm emission wavelengths. Then, a 2 ppm bead solution was prepared, and 1 ml of the beads solution was filtered with each different HTCC electrospun nanofiber mat by vacuum suction. The concentration of the polystyrene latex beads solution was determined before and after filtration, and the percent removal of the beads was calculated.

### 3.2.5 Virus removal assessment

To assess virus removal, PPV strain NADL-2 and porcine kidney-13 (PK-13) cells were a gift from Dr. Ruben Carbonell, North Carolina State University. The MTT assay (Heldt et al. 2006; Tafur et al. 2013) was performed to determine the virus removal result of the electrospun HTCC-PVA membranes. The MTT assay is a colorimetric cell viability method, based on the reaction of MTT agent (thiazolyl blue tetrazolium bromide) with the mitochondria of metabolically active cells (Heldt et al. 2006).

#### 3.2.5.1 Cell propagation and virus titration

The PK-13 cells were propagated in 75 cm<sup>2</sup> flasks at 37°C, 5% CO<sub>2</sub> and 100% humidity. A confluent flask of cells were washed with 3 ml of PBS and detached with 3 ml of trypsin. Then 3 ml of completed medium which was supplemented with 10% FBS and 1% penicillin-streptomycin was added. The mixed cells, medium and trypsin were centrifuged by a ST 16R Centrifuge (Thermo Scientific, Asheville, NC) at 1500 rpm for 3 min at 19°C to separate the cells. The supernatant was removed, and 5 ml of completed medium was added and mixed up with cells (for the split ratio 1:5). Finally, 1 ml of cells was added to the flask containing 15 ml of completed medium. PK-13 cells were propagated every 3-4 days.

The virus was titrated with the MTT assay (Tafur et al. 2013). The PK-13 cells were seeded into 96-well cell culture plates at a concentration of  $8 \times 10^4$  cell/ml and 100 µl/well. After 24 h, the plates were infected by adding 25 µl/well of PPV solution to the first column of wells in quadruplicates. 5-fold serial dilutions across the entire plate were made by mixing the virus solution and medium together by pipetting up and down at least 5 times with an 8-channel pipette, and 25 µl was transferred from each well to the next column with fresh tips. The extra 25 µl from the last column of wells was removed so that all of the wells had 100 µl in them. The plates were returned to the incubator. Controls containing only cells and medium, as well as 6 logs (MTT/ml) of PPV solution were conducted every time. After 5 days incubation, 10 µl/well of 5 mg/ml MTT salt in PBS was added to all of the plates, and the plates were returned to the incubator. After 4 h, 100 µl/well of solubilizing agent (0.01 M HCl with 10% (w/v) SDS in water) was added. The plates were incubated at 37°C overnight and read on a Synergy Mx monochromator-based multi-mode microplate reader (Winooski, VT) at 550 nm. The virus titer, determined to be the virus dilution that reduced the cells density to 50% of the control cells, was defined as the MTT<sub>50</sub>.

The LRV was used to determine the amount of virus that adsorbed to the nanofibers and is found in **equation 2.1**.

### 3.2.5.2 Sample preparation and virus infection

Both the Fresh HTCC-PVA electrospun nanofibers without crosslinking and the HTCC-PVA electrospun nanofibers crosslinked with glutaraldehyde vapor were assessed for virus removal with an MTT assay. PPV was contacted with HTCC-PVA nanofibers with either incubation or filtration.

For incubation, 1 layer of 0.5024 cm<sup>2</sup> punched filter paper with HTCC-PVA nanofibers was placed into a 1.5 ml non-stick surface micro-centrifuge tube contained 500 µl of 6 logs (MTT/ml) (unless otherwise stated) of PPV in water. One layer of the same size of punched blank filter paper was also put into a separate tube as a control. Tubes containing fibers and blank were rotated for 10 min (unless otherwise stated) on a Roto-shake Genie rocker (Scientific Industries Inc., Bohemia, NY). Then, the nanofibers were taken out of the tubes, and the virus solutions after incubation were centrifuged for 30 min at the speed of 14000 rpm to remove the suspected remaining fibers in the tubes. The supernatant was removed and tested with the MTT assay (**Section 3.3.5.1**) to determine the concentration of infectious virus.

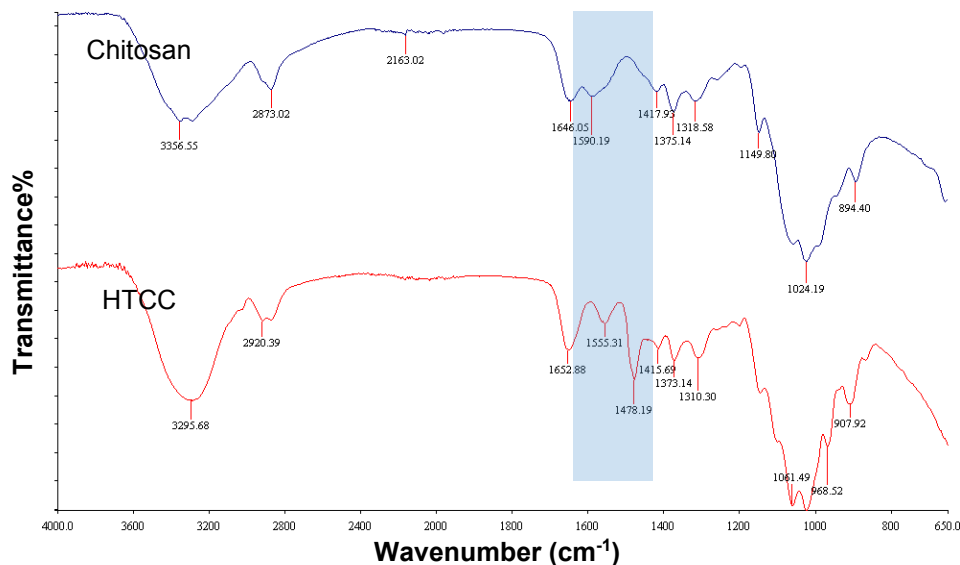
For filtration, 5 layers (unless otherwise stated) of 0.3167 cm<sup>2</sup> punched filter paper with HTCC-PVA nanofibers was placed into one well of a 96-well filter plate (1.0 µm pore size) (Pall Corporation, Ann Arbor, MI). Five layers of the same size of punched blank filter paper was also put into one well as a control. Then 500 µl of 6 logs (MTT/ml) of PPV in water was added to each well and was incubated for 1 min. Afterwards the filter plate was centrifuged at the force of 400 × g for 10 min. The virus solutions after filtration were tested with the MTT assay (**Section 3.3.5.1**) to determine the concentration of infectious virus.

## 4 Results and Discussion

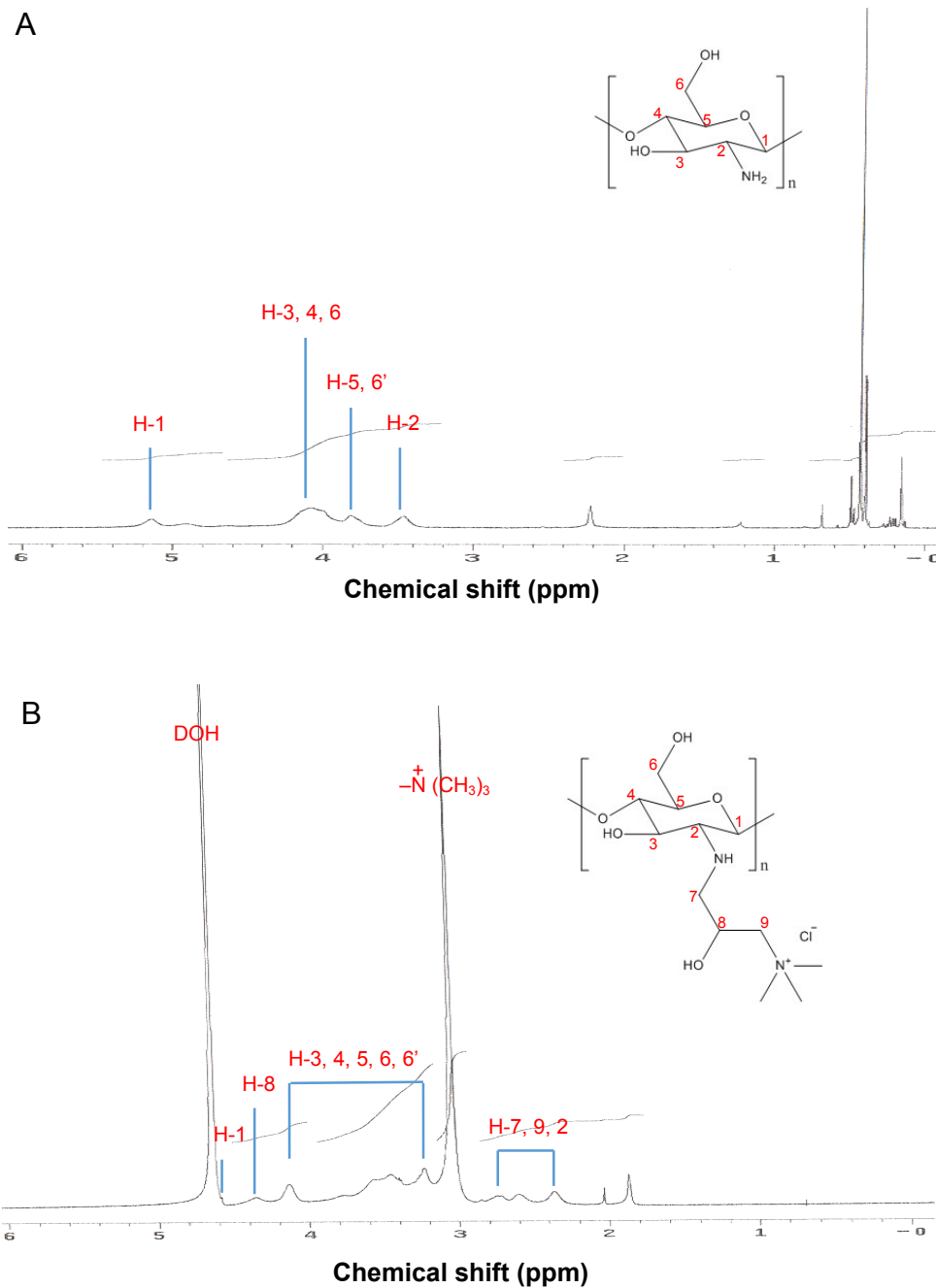
### 4.1 HTCC nanofibers without crosslinking

#### 4.1.1 Characterization of HTCC

HTCC, was prepared by the reaction of chitosan with GTMAC in an aqueous solution. As shown in **Figure 3.1**, the primary amine group in chitosan was modified to a quaternary ammonium salt group in HTCC. To confirm the successful synthesis of HTCC, FTIR spectra and NMR spectra of chitosan and HTCC are shown in **Figure 4.1** and **Figure 4.2**, respectively. **Figure 4.1** shows that a new peak at  $1478\text{ cm}^{-1}$ , representing the C-H bending of trimethylammonium group can be found in HTCC. It should also be noted that the peak at  $1590\text{ cm}^{-1}$  in chitosan, which is the N-H bending of the primary amine, disappeared in HTCC due to the change of primary amine in chitosan to secondary amine in HTCC. The evidence of successful introduction of the quaternary ammonium salt group on chitosan backbone is further verified by NMR spectra, shown in **Figure 4.2**. A strong peak at 3.1 ppm, assigned to the methyl groups in the quaternary ammonium side chains, appeared in the HTCC. Both the results of FTIR and NMR spectra are in agreement with the previous reports (Deng et al. 2012; Lim and Hudson 2004; Xiao et al. 2012).



**Figure 4.1** FTIR spectra of chitosan and HTCC.



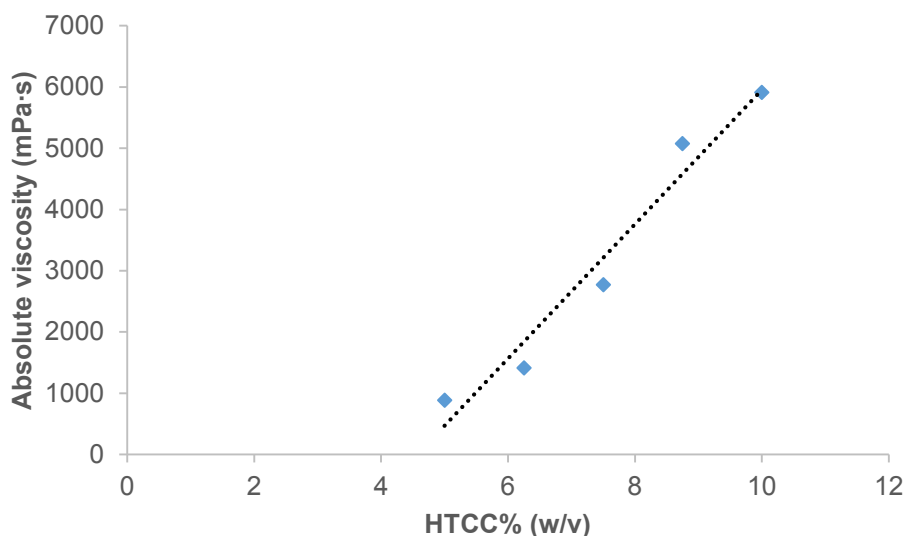
**Figure 4.2**  $^1\text{H}$  NMR spectra chitosan and HTCC. (A) Chitosan ( $c=5$  mg/ml) was dissolved in  $\text{CF}_3\text{COOD}$ . (B) HTCC ( $c=10$  mg/ml) was dissolved in  $\text{D}_2\text{O}$ .

The calculated DQ of HTCC was  $76.4 \pm 4.3\%$ , based on titration of three separate batches of HTCC aqueous solution and three titrations for each batch. This DQ value

demonstrates that the majority of the amino groups on chitosan were substituted by quaternary ammonium salt groups.

#### 4.1.2 Electrospinning of HTCC solution

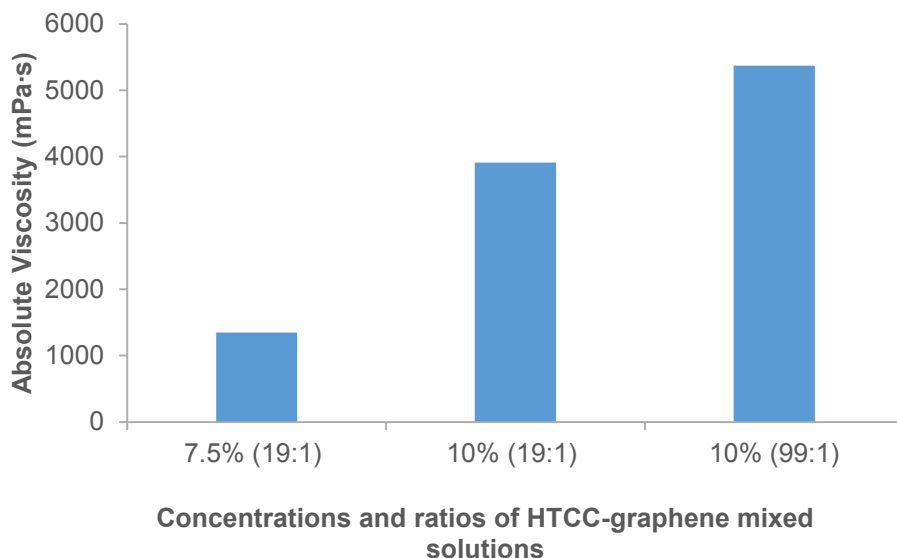
Pure HTCC solution failed to be electrospun into nanofibers over a concentration range (from 5 to 10% (w/v)). This could be attributed to its high repelling interaction between ionogenic groups of HTCC (Deng et al. 2012). Viscosity is a principal rheological property to impact the electrospinning process due to its relationship to the polymer molecule chain entanglement of the solution. In general, there is a critical viscosity for a solution to form fibers. Below this critical viscosity, beads or droplets, instead of fibers, are typically formed on the collector. The beads are likely caused by low chain entanglements that cannot stabilize the Coulombic repulsive forces within the ejected jet. Thus, the viscosity of pure HTCC solution was studied and shown in **Figure 4.3**. The result shows that the viscosity of HTCC increased with the increase of HTCC concentration in solution. In addition to viscosity, HTCC is a cationic polysaccharide, ionizable in neutral aqueous solution, thus the repelling interaction between ionogenic groups could affect fiber formation in the electrospinning process (Alipour et al. 2009).



**Figure 4.3** Viscosity of pure HTCC aqueous solution

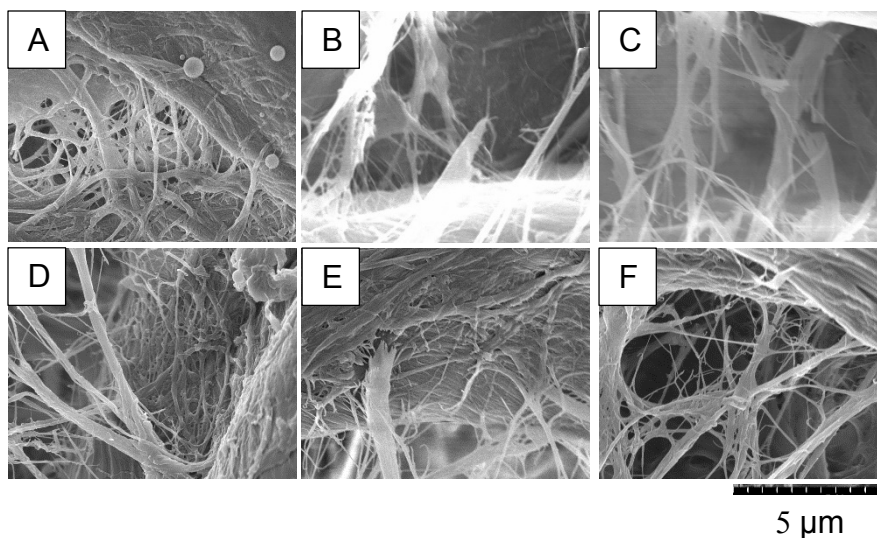
To aid the fiber formation of HTCC, various additives (graphene, SDS and PVA) at different weight ratios (HTCC: additive 9:1 and 8:2) were added into the HTCC solution at room temperature, making the total polymer concentrations in mixed solution ranging from 7.5 to 15%. A selected viscosity of HTCC-graphene mixed solution of different compositions was tested and shown in **Figure 4.4**. In order to repeat the

successful electrospinning of HTCC-graphene mixed solutions, we tried to change the concentrations and ratios to obtain the same viscosity (2980 mPa·s) and conductivity (6.01 mS/cm) of 10% of HTCC: graphene (9:1) solution as reported (Bai 2012). However, our attempts to obtain defect-free nanofibers from HTCC-additive (graphene, SDS and PVA) mixed solution prepared at room temperature were unsuccessful and the selected results of electrospinning are shown in **Figure 4.5**. Only fluffy fibers of the support layer filter paper and a few polymer beads (panel A) can be observed from the SEM images. This is possibly due to the high content of HTCC in the mixed solution resulting in high repulsive forces existing between the ionogenic groups of polyelectrolytes.



**Figure 4.4** Viscosity of HTCC-graphene mixed solution of different compositions



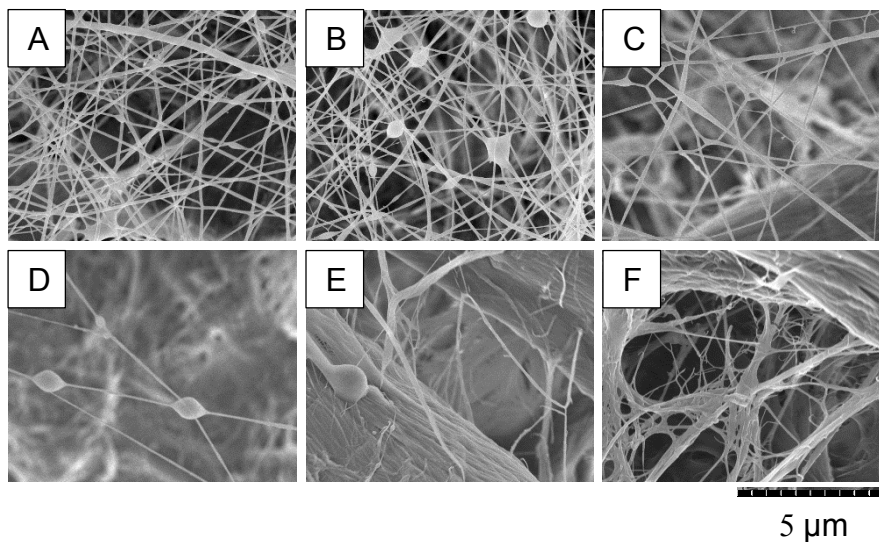


**Figure 4.5** SEM-micrographs of electrospun different concentrations of HTCC-additive mixed solution of different compositions. (A) 10% (w/v) of HTCC: PVA 8:2, (B) 15% (w/v) of HTCC: SDS 9:1, (C) 15% (w/v) of HTCC: graphene 9:1, (D) 12% (w/v) of HTCC: graphene 9:1, (E) 10% (w/v) of HTCC: graphene 19:1, (F) blank filter paper. The applied voltage was 20 kV, tip-to-collector distance was 10 cm, feed rate was 7.5 ml/h and rotation speed of drum collector was 1500 rpm.

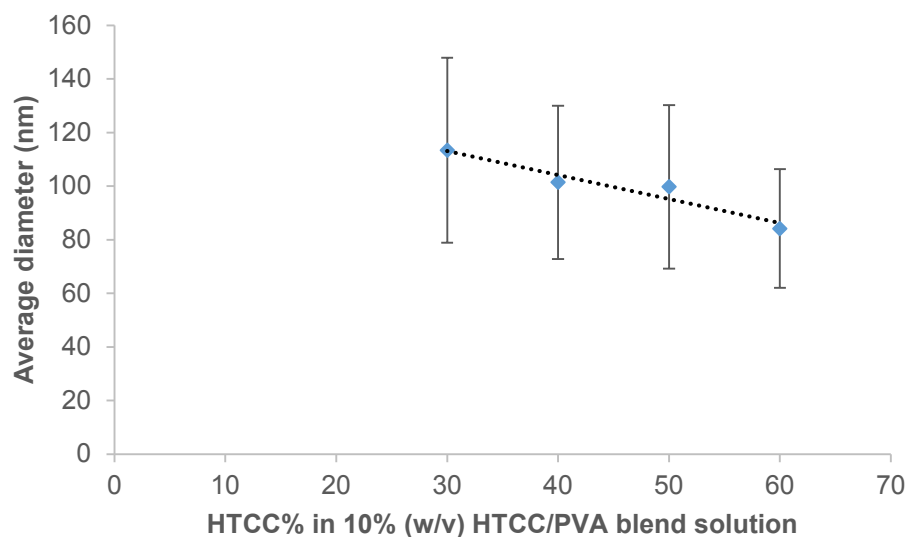
After several trials, PVA was chosen as a suitable non-ionogenic partner for producing electrospun HTCC nanofibers. The additive PVA solution was heated at 85°C for 5 h to increase the solubility of the PVA. The HTCC-PVA blend electrospinning solutions (from 30% (w/v) HTCC to 70% (w/v) HTCC in a total 10% (w/v) polymer concentration) were electrospun. As shown in **Figure 4.6**, obvious smooth nanofibers were obtained at an HTCC-PVA content of 3:7 (**Figure 4.6A**) and the fiber formation ability was decreased with the increase of HTCC content. PVA was added to improve fiber formation, and was likely able to moderate the high repulsion force between HTCC molecules (Ignatova et al. 2006). PVA is also known to easily form fibers and films (Ignatova et al. 2006). The average diameters of the electrospun HTCC-PVA nanofibers were in the range of 84 nm to 113 nm and a decrease in the average diameter of nanofibers with the increase of HTCC content in blend solution was observed in **Figure 4.7**. Obviously, the content of polyelectrolyte had a significant effect on the morphology and average diameter distribution of electrospun fibers.

The viscosity and conductivity of HTCC-PVA blend solution is shown in **Figure 4.8**. The result shows that the viscosity and conductivity increased linearly with an increase in HTCC content. This result of viscosity is in accordance with the previous result that an increase in viscosity was found with an increase in HTCC in **Figure 4.3 and 4.4**. For the conductivity, it should increase with an increase in content of polyelectrolyte HTCC; thus more mutual charge repulsion within the jet can stretch the

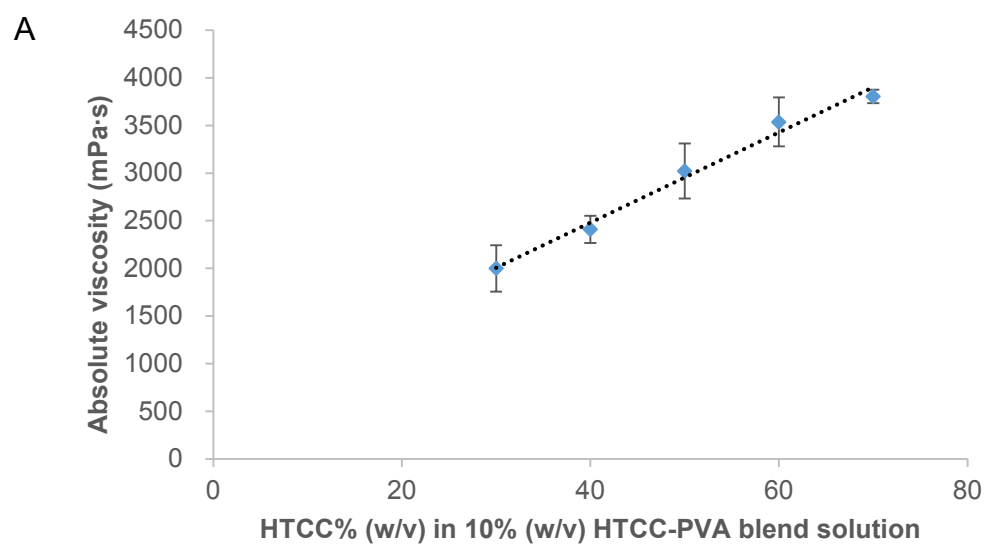
jet further and reduce the fiber diameter (Alipour et al. 2009). Improving chain entanglement because of increase of viscosity, could induce a higher resistance towards orientation by stretching forces applied during electrospinning process to increase fiber ability (Duan et al. 2004). However, too high viscosity to a point where the flow through the capillary is obstructed may again lower the fiber formation ability (Duan et al. 2004).

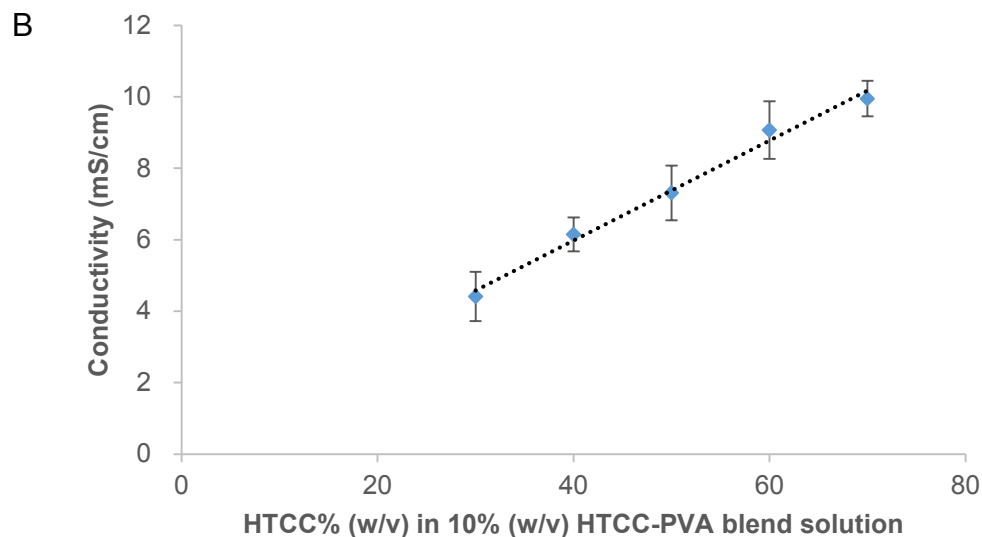


**Figure 4.6** SEM-micrographs of 10% (w/v) HTCC-PVA nanofibers of different compositions. Mass ratios of HTCC: PVA was (A) 3:7, (B) 4:6, (C) 5:5, (D) 6:4, (E) 7:3. (F) Blank filter paper. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 2 ml.



**Figure 4.7** Average diameter distribution of 10% (w/v) HTCC-PVA nanofibers of different compositions. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 2 ml. Error bars are the standard deviation of 150 fibers measured.





**Figure 4.8** Viscosity and conductivity of 10% (w/v) HTCC-PVA blend solution of different compositions. (A) Viscosity, (B) Conductivity. Error bars are the variance of two separate tests.

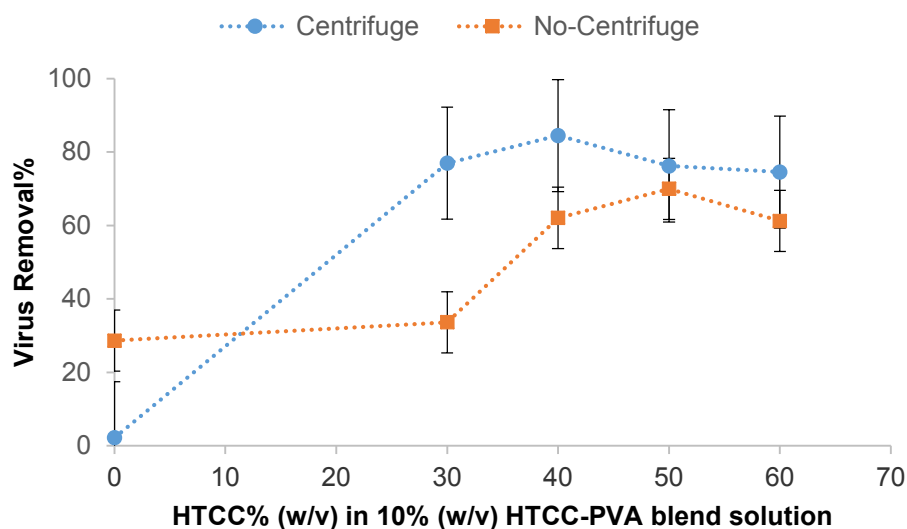
### 4.1.3 Virus removal assessment

To determine the virus removal ability of the electrospun HTCC-PVA membranes, PPV, one of the smallest known mammalian viruses, is used as a model virus in the virus clearance study. We chose PPV as our model virus since it is difficult to inactivate by chemical and heat treatment (Kempf et al. 2007) and can be removed by only small pore-sized membrane which fouls easily and has high pressure drops (Furuya et al. 2006; Marques et al. 2009). The large pore-sized and functionalized membranes with a high surface to volume ratio that we are creating, which can increase water flux and decrease membrane fouling, is hypothesized to remove viruses by adsorption.

Fresh HTCC-PVA electrospun nanofibers without crosslinking were assessed for virus removal with an MTT assay (Tafur et al. 2013). After a 10 min incubation with PPV, one group was centrifuged to remove fibers suspected to suspend in virus solution and the other was not. Both of the supernatant virus solutions were titrated and the virus removal is shown in **Figure 4.9**. The 40% (w/v) HTCC sample after centrifugation showed the highest virus removal at 85%. The centrifuged group showed a higher virus removal result, possible due to the virus along with remaining fibers was precipitated to the bottom of the centrifuge tube while the supernatant was titrated. Centrifuged 40% (w/v) of HTCC sample showed a higher virus removal result than 50% (w/v) or 60% (w/v) is possibly because of more fiber formation at this ratio compared with the other two ratios. 30% (w/v) of HTCC in electrospinning solution showed a similar fiber

formation ability as the 40% (w/v) solution in **Figure 4.6**, but the HTCC content is likely too low to bind virus. In addition, the filter paper could bind 29% (highest) of the virus. All of the electrospun samples were able to bind greater than 29% of the virus, therefore it was assumed that the additional virus binding was due to the electrospun HTCC-PVA nanofibers.

From this virus removal result, the best condition can be obtained as 40% (w/v) of HTCC in total 10% (w/v) HTCC-PVA nanofibers with centrifugation after the incubation step.



**Figure 4.9** Virus removal of 10% (w/v) HTCC-PVA nanofibers of different compositions. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 2 ml. The centrifuged group of virus solutions were centrifuged for 30 min at 14000 rpm after incubation. The no-centrifuge group was titrated after incubation for 10 min without centrifugation. All data points are the average of three separate tests and error bars represent the standard deviation.

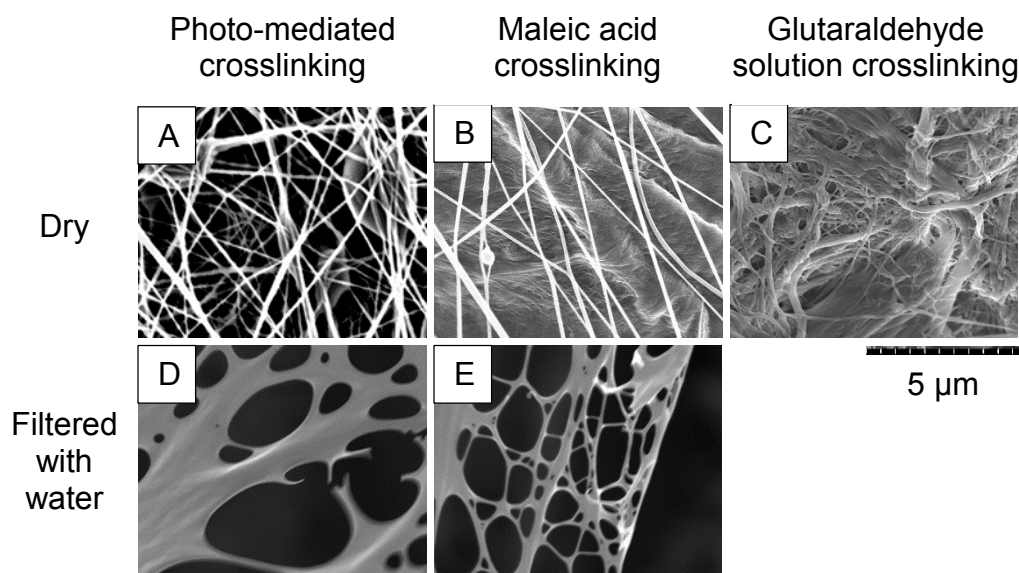
## 4.2 Crosslinked HTCC-PVA nanofibers

Although the fresh electrospun HTCC-PVA nanofibers showed a rather high virus removal result, there was a problem of stability of nanofibers against water to be solved since both HTCC and PVA are water-soluble. HTCC-PVA nanofibers readily dissolved when immersed in water, which will limit the application of the electrospun HTCC-PVA nanofibers in water. In order to retain their unique nanofibrous structure, several methods of crosslinking of electrospun HTCC-PVA nanofibers have been performed.

## 4.2.1 Characterization of the crosslinked HTCC-PVA nanofibers

### 4.2.1.1 Photo-mediated, maleic acid and glutaraldehyde solution crosslinking

Our attempts to crosslink the HTCC-PVA electrospun nanofibers with photo-crosslinker, maleic acid and glutaraldehyde solution was unsuccessful and the results are shown in **Figure 4.10**. The photo-mediated crosslinked HTCC-PVA nanofibers started dissolving after water contact (**Figure 4.10D**). Compared with the successful report of photo-mediated crosslinking (Ignatova et al. 2006), the power of the UV-lamp in our lab was lower, which may be the possible reason that led to the failure in the experiment due to lack of enough energy to initiate the photo-crosslinking reaction. Similarly, the maleic acid crosslinked HTCC-PVA nanofibers started dissolving when filtered with water (**Figure 4.10E**). It was probably due to the low pH value already below 1 without adding catalyst sulfuric acid in our experiment, compared with the successful reported pH value of maleic acid crosslinked PVA electrospinning solution within 5 (Han et al. 2003; Qin and Wang 2008). Less maleic acid and several drops of 10% (w/w) sodium hydroxide solution were added to adjust the pH value, but no further improvement of the result was found. For the glutaraldehyde solution, the HTCC-PVA electrospun nanofibers were immersed in 10% (v/v) glutaraldehyde aqueous solution (Mello et al. 2006) and the fibers dissolved before they reacted with the glutaraldehyde, as shown in **Figure 4.10C**.



**Figure 4.10** SEM-micrographs of 10% (w/v) 3:7 HTCC-PVA nanofibers of different crosslinking methods. (A) Photo-mediated crosslinked nanofibers, (B) maleic acid crosslinked nanofibers, (C) glutaraldehyde solution crosslinked sample, (D) filtered sample A, (E) filtered sample B. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml.

#### 4.2.1.2 Glutaraldehyde vapor crosslinking

In order to treat the HTCC-PVA nanofibers with glutaraldehyde before contacting the fibers with water, glutaraldehyde vapor (Alipour et al. 2009) was used. In order to obtain the best crosslinking condition for fibers, different crosslinker concentration and crosslinking time was performed and the result is shown in **Figure 4.11**. To determine the stability of crosslinked HTCC-PVA nanofibers against water, they were filtered with water and were immersed in water for 10 min.

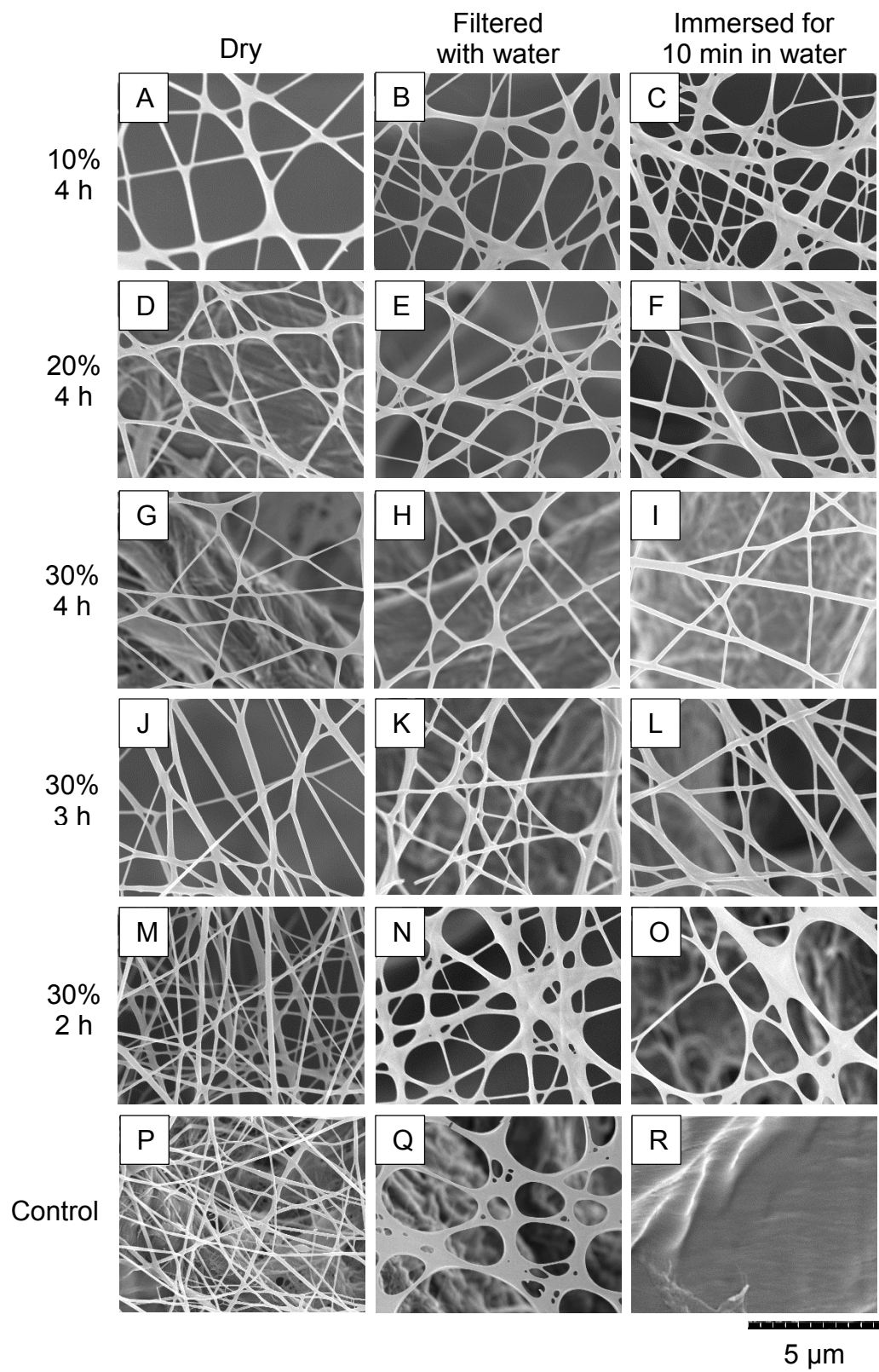
The control samples **Figure 4.11P, Q & R** showed the fresh electrospun HTCC-PVA nanofibers dissolving in water. For the uncrosslinked membrane, both the polymer of HTCC and PVA swells and the distance between any two polymer chains increases as the adsorbed water molecules increase (Han et al. 2003). Once the attractive forces between these two polymers chains is not sufficient to keep them together until a critical distance, the polymer will dissolve in water (Han et al. 2003).

To stabilize the fibers, glutaraldehyde vapor was used to crosslink the fibers. This gave the fibers stability in water since the aldehyde group of glutaraldehyde will react with the unbound hydroxyl groups of both the HTCC and PVA to form crystallizations

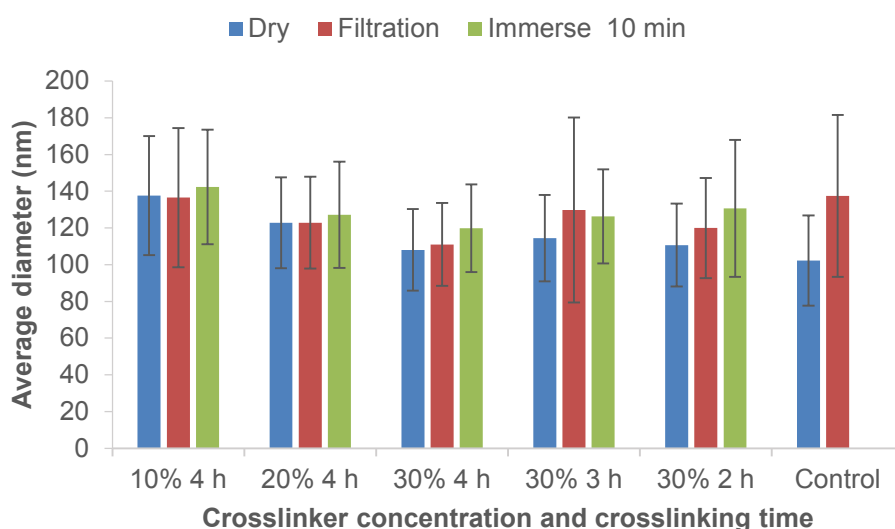
zones (Young and Lovell 2011). Water caused fibers swelling in different degrees for all cases due to different degrees of crosslinking. Even the glutaraldehyde vapor slightly swell the fibers. As it shown in **Figure 4.11G, H & I**, 30% 4 h crosslinked fibers swell the least and seem like the most stable fibers when contacting with water within 10 min. This could also be confirmed in **Figure 4.12**, which graphically shows the diameter of the fibers. The diameters of 30% 4 h crosslinked nanofibers (dry, filtration and immerse 10 min) are the closest to the diameter of fresh electrospun nanofibers. Both 10% 4 h and 30% 2 h crosslinked fibers started dissolving when contacted with water for 10 min and some coalescence of fibers was observed in **Figure 4.11A, B & C** and **Figure 4.11M, N & O**. From **Figure 4.11** and **Figure 4.12**, it could be noted that the fiber stability increased with the increase of crosslinker concentration and crosslinking time, due to the corresponding diameter decreased with the increase of crosslinker concentration and crosslinking time. In addition, the diameter of fibers increase with the increase of water contacting time as a result of the swelling of fibers due to adsorbing water.

To study the stability of the 30% 4 h crosslinked HTCC-PVA nanofibers against water, the membrane was immersed in water for different lengths of time within 6 h. The morphology of the fibers is shown in **Figure 4.13**. The corresponding average fiber diameter distribution and the change of swelling degree calculated from fiber diameter are shown in **Figure 4.14**. It was found that 30% 4h crosslinked fibers kept their morphology and did not dissolve within 6 h of contact with water. The fibers swelled in water and the equilibrium swelling degree was approximately a 30% increase in diameter.

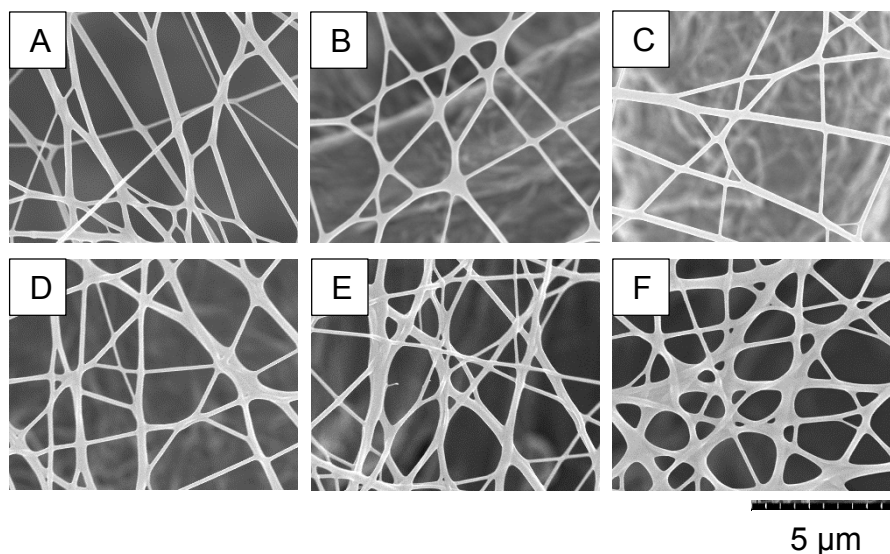




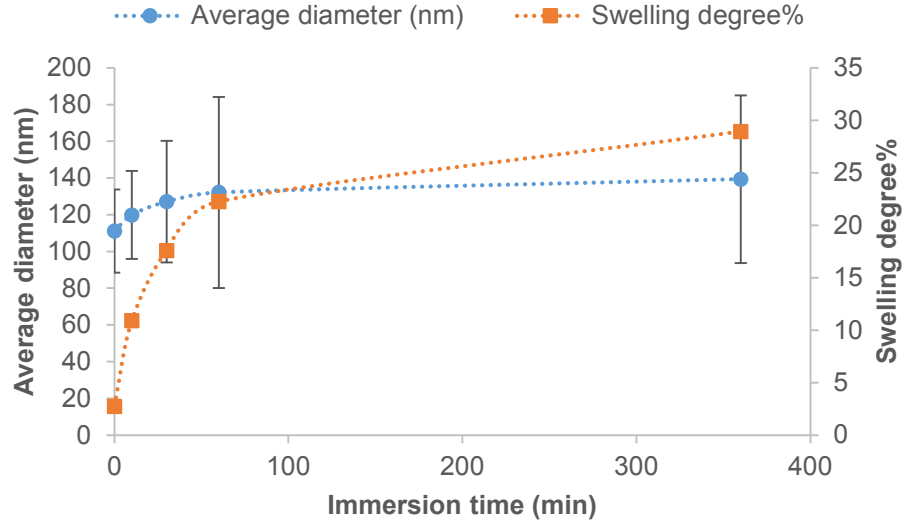
**Figure 4.11** SEM-micrographs of 10% (w/v) 4:6 glutaraldehyde vapor crosslinked HTCC-PVA nanofibers. Crosslinker concentration and crosslinking time with different treatment is (A) 10% 4h, (B) filtered sample A with water, (C) immersed sample A for 10 min in water; (D) 20% 4h, (E) filtered sample D with water, (F) immersed sample D for 10 min in water; (G) 30% 4h, (H) filtered sample G with water, (I) immersed sample G for 10 min in water; (J) 30% 3h, (K) filtered sample J with water, (L) immersed sample J for 10 min in water; (M) 30% 2h, (N) filtered sample M with water, (O) immersed sample M for 10 min in water. (P) Fresh electrospun nanofibers without crosslinking, (Q) filtered sample P with water, (R) immersed sample P for 10 min in water. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml.



**Figure 4.12** Average diameter distribution of 10% (w/v) 4:6 glutaraldehyde vapor crosslinked HTCC-PVA nanofibers. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml. Error bars are the standard deviation of 300 fibers measured.

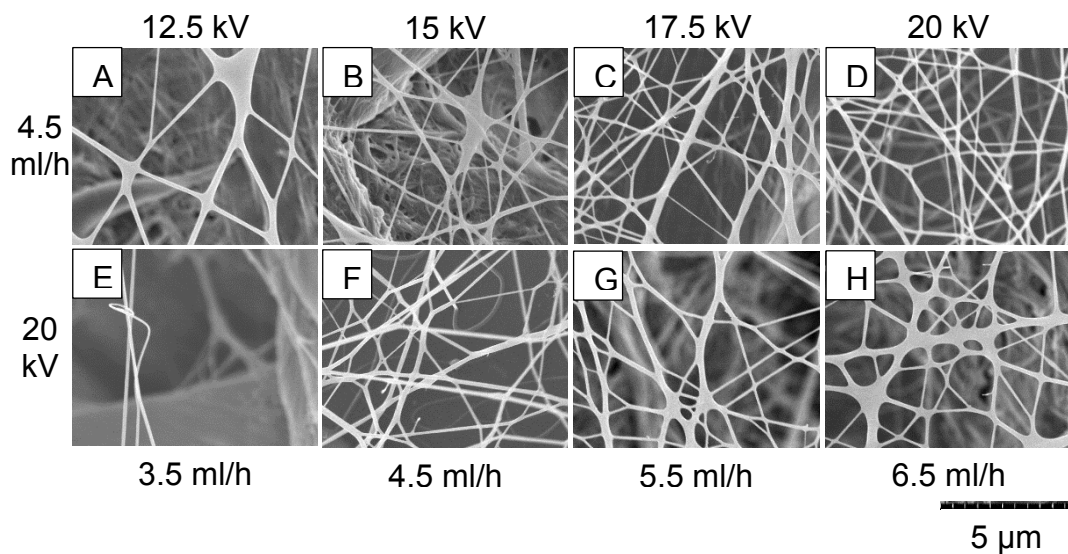


**Figure 4.13** SEM-micrographs of 10% (w/v) 4:6 30% 4h glutaraldehyde vapor crosslinked HTCC-PVA nanofibers of different lengths of contact with water. (A) Dry crosslinked nanofibers, (B) filtered sample A with water, (C) immersed sample A for 10 min in water, (D) immersed sample A for 30 min in water, (E) immersed sample A for 60 min in water; (F) immersed sample A for 360 min in water. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml.

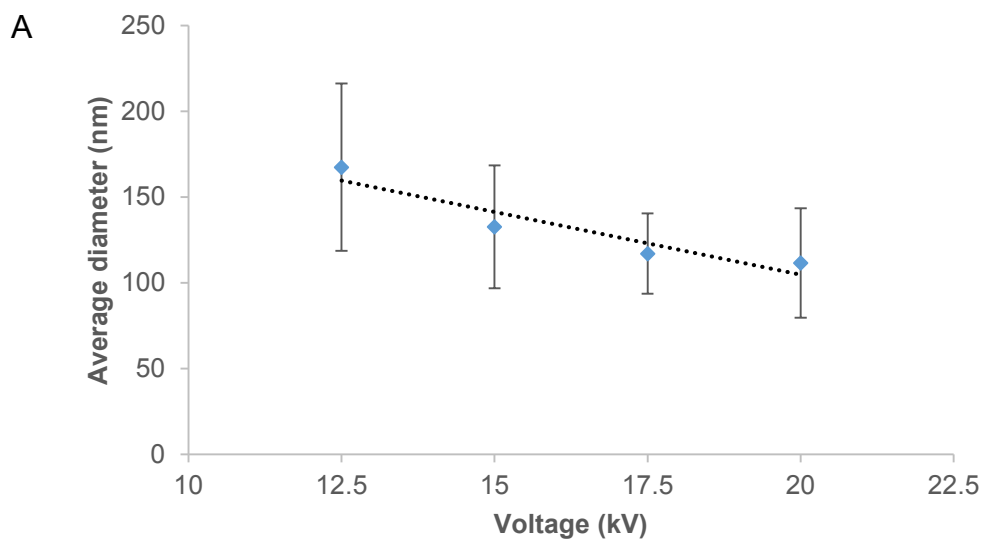


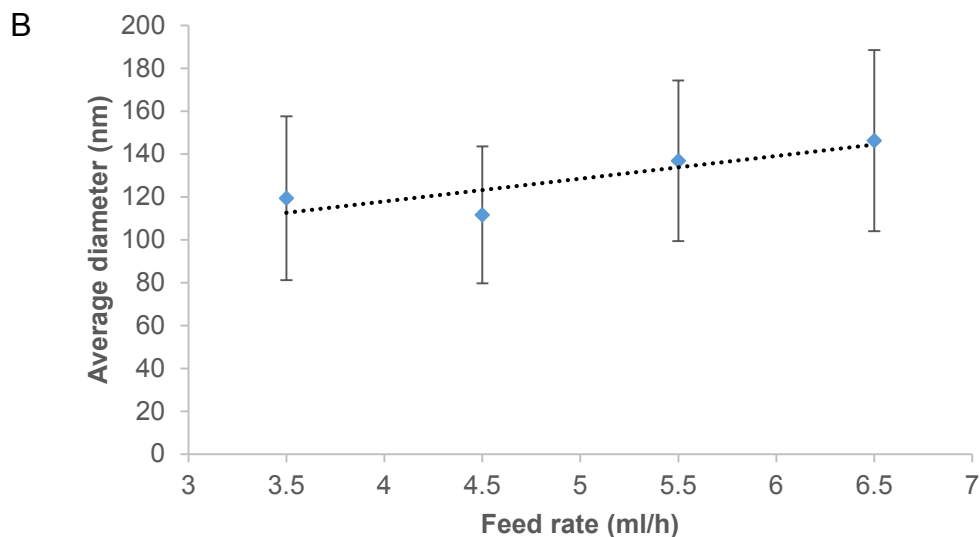
**Figure 4.14** Average diameter distribution and swelling degree of 10% (w/v) 4:6 30% 4h glutaraldehyde vapor crosslinked HTCC-PVA nanofibers of different lengths of contact with water. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml. Error bars are the standard deviation of 150 fibers measured.

The effects of two key electrospinning parameters which are the applied voltage and the feed rate on fiber morphology were studied with the stable 30% 4 h crosslinked nanofibers. The fiber morphology was shown in **Figure 4.15** and the fiber diameter distribution was shown in **Figure 4.16**. It could be found that the average diameters of nanofibers significantly decreased with an increase in the applied voltage, which is identical to the data found in the previous paper (Alipour et al. 2009; Ignatova et al. 2006). This is possibly because that an increase in the applied voltage will increase the charge density and the greater Coulombic repulsive forces causing the jet to accelerate faster and to stretch more (Lee et al. 2007). In addition, the average diameter of nanofibers obviously increased with an increase in the feed rate. This could be because of insufficient time available to allow for the electrospinning solution to evaporate resulting in fusing the fibers together at high feed rate, thereby formation of fibers with large diameters (Han et al. 2008).



**Figure 4.15** SEM-micrographs of 10% (w/v) 4:6 30% 4h glutaraldehyde vapor crosslinked HTCC-PVA nanofibers of different electrospinning conditions. (A) 12.5 kV, (B) 15kV, (C) 17.5kV, (D) 20kV, (E) 3.5 ml/h, (F) 4.5 ml/h, (G) 5.5 ml/h, (H) 6.5 ml/h. The feed rate of sample (A), (B), (C) and (D) was 4.5 ml/h and the applied voltage for sample (E), (F), (G) and (H) was 20 kV. The remaining electrospinning parameters were the same: tip-to-collector distance was 5 cm, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml.





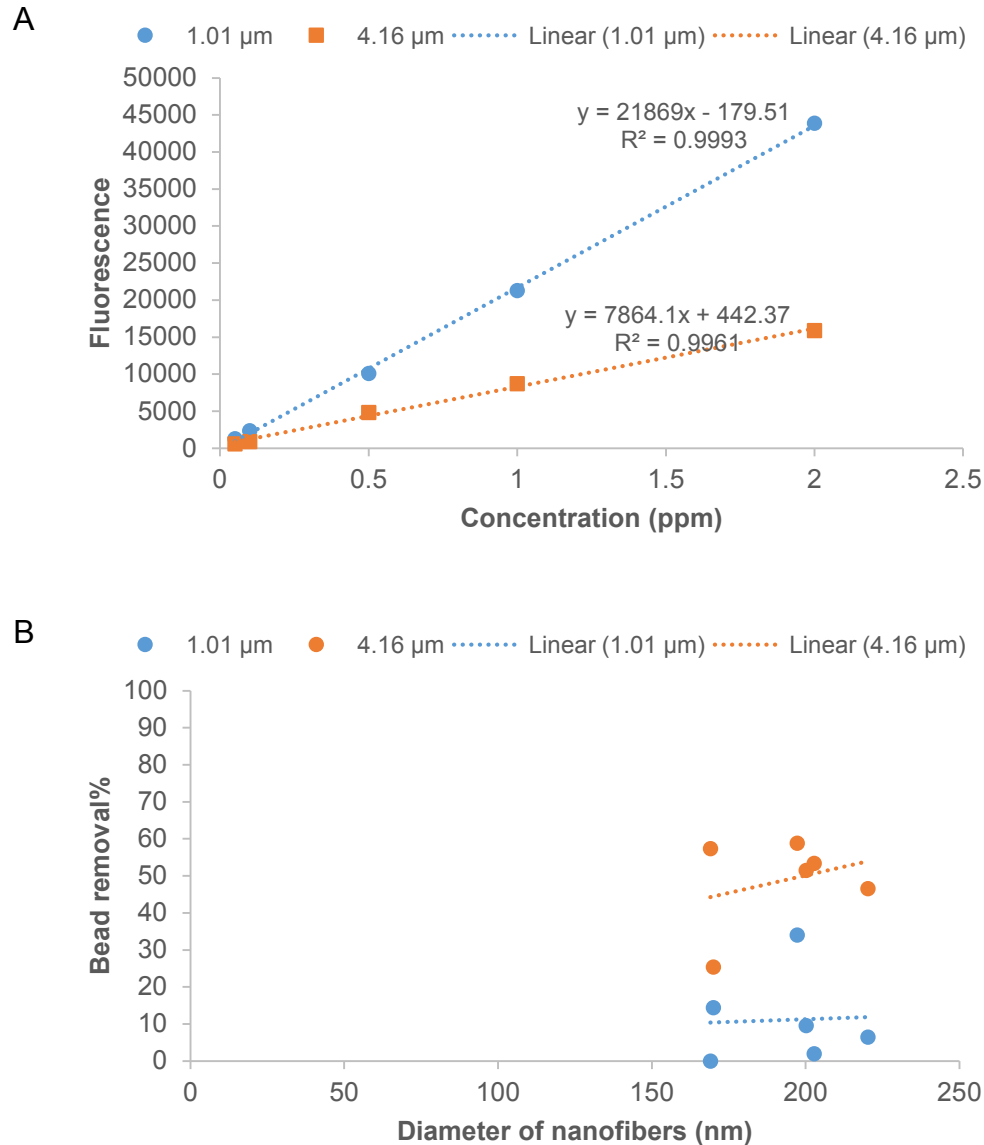
**Figure 4.16** Average diameter distribution of 10% (w/v) 4:6 30% 4h glutaraldehyde vapor crosslinked HTCC-PVA nanofibers of different electrospinning conditions. Unless the specific voltage or feed rate labeled, the remaining electrospinning parameters were the same: the applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml. Error bars are the standard deviation of 150 fibers measured.

To study the relationship between pore size and fiber diameter, HTCC electrospun membranes were assessed by filtration with 2 ppm of 1.01  $\mu\text{m}$  and 4.16  $\mu\text{m}$  diameter of polystyrene latex beads, respectively.

First, the standard curve of fluorescent polymer beads solution concentration vs. fluorescence was obtained by plotting a known concentration vs. fluorescence, shown in **Figure 4.17A**. Then, the concentration of the polystyrene latex beads solution was determined before and after filtration with membrane with different diameters according to fluorescence and the percent removal of the beads was calculated, shown in **Figure 4.17B**. The higher of bead removal means the smaller of the pore size. It was found that the pore sizes of membranes decreased with an increase of fiber diameters. It is likely because that thicker fibers take up more room and decrease the corresponding room for pores (Bai 2012). However, the result was not linear relationship possibly due to leaking of polymer beads from the edge of membranes.

In addition, the pore size of 30% 4h glutaraldehyde vapor crosslinked 10% (w/v) of HTCC-PVA 4:6 nanofibers (electrospun at 20 kV, tip-to-collector distance 5 cm, feed rate 4.5 ml/h, rotation speed of drum collector 1500 rpm and volume of electrospinning solution 3 ml) were calculated to be  $1.121 \pm 0.078 \mu\text{m}$  based on six SEM images. The

membrane pore size is in the range of typical MF membranes (0.1-10  $\mu\text{m}$ ) (Bjorge et al. 2009). This shows that our membrane pore size is much large than the sizes of PPV (18-26 nm) (Morrica et al. 2003) and suggests that PPV cannot be removed by size-exclusion alone filtration.



**Figure 4.17** The relationship between pore size and fiber diameter. (A) Standard curve of fluorescent polymer beads solution concentration vs. fluorescence. (B) Relationship between bead removal and fiber diameter. The 30% 4 h crosslinked membranes were electrospun at the applied voltage from 15 kV to 20 kV, 5 cm of tip-to-collector distance, feed rate ranging from 3.5 ml/h to 6.5 ml/h, 1500 rpm of rotation speed of drum collector. The volume of electrospinning solution was 3 ml.

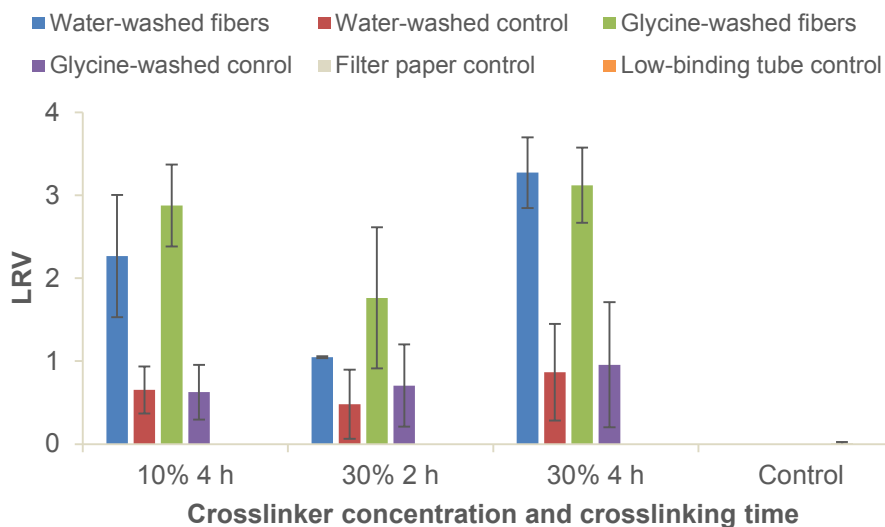
## 4.2.2 Virus removal of crosslinked HTCC-PVA nanofibers

For the virus clearance studies of the stable crosslinked nanofibers, both the incubation and filtration of PPV was performed by crosslinked HTCC-PVA nanofibers since the goal of this project is to create functionalized electrospun membranes that can remove viruses from drinking water by adsorption and filtration. Prior to contacting the crosslinked membranes with virus, electrospun mats after crosslinking with glutaraldehyde vapor were washed with water for 20 min or washed with 0.1 M glycine for 10 min and water for 10 min, respectively. This pre-treatment is to remove the unreacted glutaraldehyde on filters. The reason why we did the pre-treatment is that glutaraldehyde vapor treated blank filter paper also showed a high virus removal result around 3 LRV. Washing the fibers is to remove the disturbed factor of crosslinker on virus removal result.

### 4.2.2.1 Virus removal by incubation of nanofibers

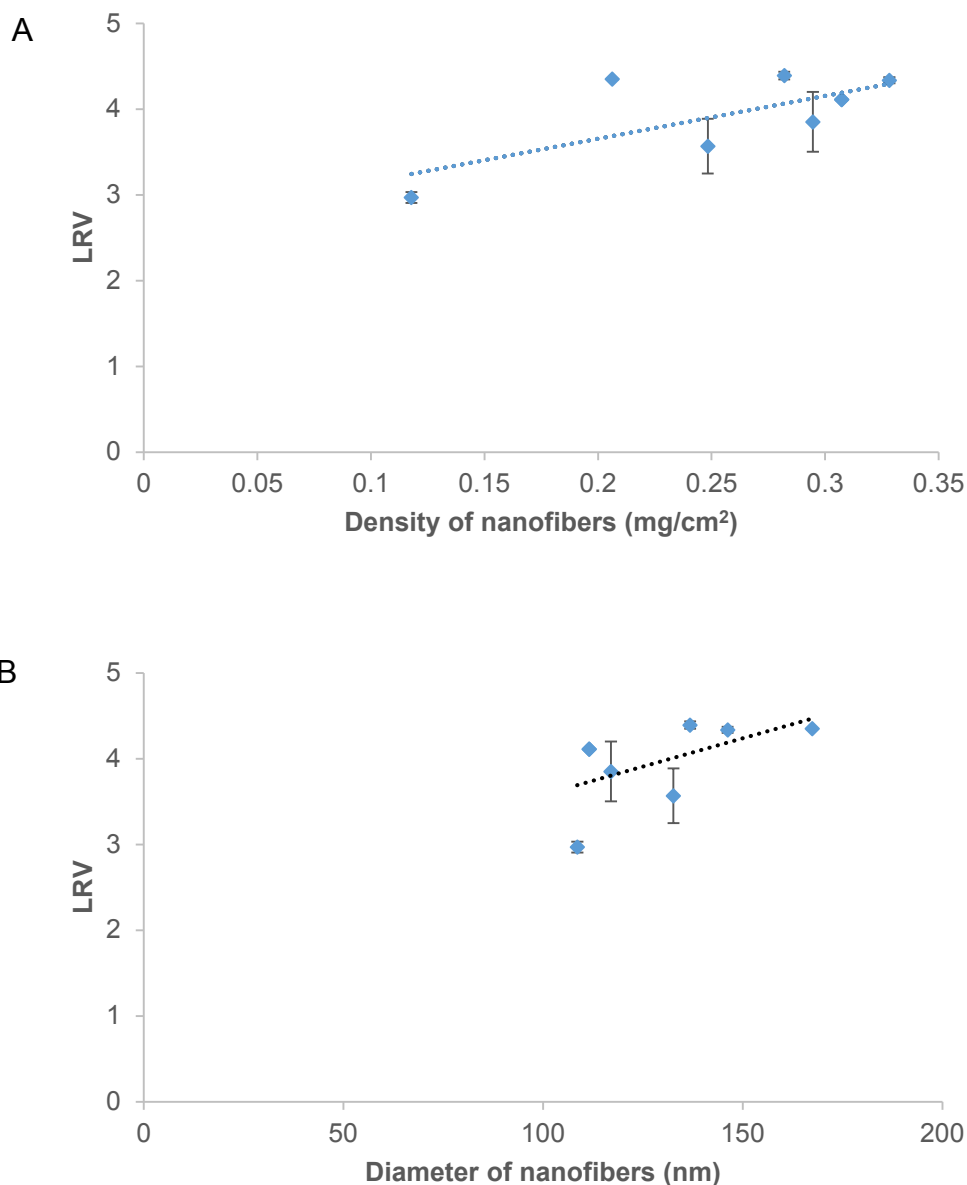
For incubation of crosslinked HTCC-PVA nanofibers with PPV, the virus binding ability of 1 layer of 0.5024 cm<sup>2</sup> selected of critical crosslinked 10% (w/v) of HTCC-PVA 4:6 nanofibers running centrifuge after incubation for 10 min was tested and shown in **Figure 4.18**. It could be found that the 30% 4 h glutaraldehyde vapor crosslinked HTCC-PVA electrospun nanofibers washed by water were able to remove 3.3 LRV of virus. The virus removal ability of nanofibers increased with an increase of crosslinker concentration and crosslinking time which resulted in an increase in the stability of nanofibers. Both the water-washed fibers and glycine-washed fibers showed the same trend with the variation of crosslinker concentration and crosslinking time. It can be seen that all the crosslinked filter paper controls are able to remove less than 1 LRV of virus. Therefore, the additional LRV was due to the stable electrospun HTCC-PVA nanofibers possibly because of the positively charged quaternary amine in HTCC able to bind negatively charged virus particles. The filter paper control showed a virus removal by 0.0098 LRV  $\pm$  0.0170 and the low binding tube control was tested be 0 LRV of virus.





**Figure 4.18** Virus removal of crosslinked 10% (w/v) 4:6 HTCC-PVA nanofibers. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml. One layer of 0.5024 cm<sup>2</sup> selected of critical crosslinked 10% (w/v) of HTCC-PVA 4:6 nanofibers was centrifuged for 30 min after incubation for 10 min. All data points are the average of three separate tests and error bars represent the standard deviation.

Afterwards, the highest virus removed condition was applied to test how the fiber morphology affects the virus binding ability of nanofibers. Crosslinked HTCC-PVA nanofibers electrospun at different electrospinning conditions resulting in different fiber diameters and fiber densities were incubated with PPV. The fiber densities were obtained by weighing the filter paper before and after collecting fibers. The virus removal result is shown in **Figure 4.19**. LRV increased with the increase of density and diameter of fibers. Higher density of fibers means more amounts of positive charges on a specific area and larger diameter of fibers provides a larger surface area of HTCC-PVA nanofibers to bind virus.



**Figure 4.19** Virus removal of crosslinked 10% (w/v) 4:6 HTCC-PVA nanofibers of different electrospinning conditions. (A) Virus removal of nanofibers of different diameters. (B) Virus removal of nanofibers of different densities. The 30% 4 h crosslinked membranes were electrospun at the applied voltage from 15 kV to 20 kV, 5 cm of tip-to-collector distance, feed rate ranging from 3.5 ml/h to 6.5 ml/h, 1500 rpm of rotation speed of drum collector. The volume of electrospinning solution was 3 ml. One layer of 0.5024 cm<sup>2</sup> selected of critical crosslinked 10% (w/v) of HTCC-PVA 4:6 nanofibers was centrifuged for 30 min after incubation for 10 min. All data points are the average of two separate tests and error bars represent the variance.

The kinetic adsorption of HTCC-PVA nanofibers was studied by incubation of 30% 4 h crosslinked 10% (w/v) 4:6 HTCC-PVA nanofibers washed by water with 6 logs (MTT/ml) of PPV in water for different incubation time. The effect of incubation time on the adsorption of virus particles onto HTCC-PVA nanofibers is shown in **Figure 4.20D**. It can be seen that the adsorption of PPV occurred rapidly and reaching adsorption equilibrium after 10 min. The adsorption of different heavy metals nickel, cadmium, lead and copper ions onto electrospun chitosan/PEO nanofibers was reported to occur fast within the first 60 min and reach the equilibrium after 120 min (Aliabadi et al. 2013). To study the adsorption kinetics of PPV particles with the HTCC-PVA nanofibers, the experimental data were analyzed using three kinetic models, zero-order, first-order and second-order models (Fogler 2006).

The zero-order rate equation is generally expressed in linear form as follows:

$$C = C_0 - k_0 t \quad (4.1)$$

Where,  $k_0$  = rate constant of zero – order adsorption ( $\log_{10} \left( \frac{MTT_{50}}{ml} \right) / \text{min}$ )

The plot of C vs. t should give a linear relation from which  $k_0$  could be determined from the slope and is shown in **Figure 4.20A**.

The first-order rate equation is generally expressed in linear form as follows:

$$\ln C = \ln C_0 - k_1 t \quad (4.2)$$

Where,  $k_1$  = rate constant of first – order adsorption ( $\text{min}^{-1}$ )

The plot of  $\ln C$  vs. t should give a linear relation from which  $k_1$  could be determined from the slope and is shown in **Figure 4.20B**.

The second-order rate equation is generally expressed in linear form as follows:

$$\frac{1}{C} = \frac{1}{C_0} + k_2 t \quad (4.3)$$

Where,  $k_2$  = rate constant of second – order adsorption ( $\log_{10} \left( \frac{MTT_{50}}{ml} \right)^{-1} \cdot \text{min}^{-1}$ )

The plot of  $1/C$  vs. t should give a linear relation from which  $k_2$  could be determined from the slope and is shown in **Figure 4.20C**.

Where for all the orders of rate equations,

$t$  = incubation time (min)

$C_0$  = initial virus concentration ( $\log_{10} \left( \frac{MTT_{50}}{ml} \right)$ )

$C$  = virus concentration after virus removal ( $\log_{10} \left( \frac{MTT_{50}}{ml} \right)$ )

$r$  = rate of adsorption ( $\log_{10} \left( \frac{MTT_{50}}{ml} \right)^{-1} \cdot \text{min}^{-1}$ )

The correlation coefficient ( $R^2$ ) value for second-order model is the highest; moreover, the experimental LRV values are very close to the calculated LRV values from the second-order model, suggesting that the second-order kinetic model can well-describe the kinetics of PPV adsorption in our case.

Both the calculated LRV from the first and second-order adsorption rate model was shown in **Figure 4.20D**. The possible reason why the second-order kinetic model is more fitted than first-order kinetic model is that two virus particles might bind each other before adsorbed by the sites of nanofibers. The rate of adsorption for each model was determined in **Figure 4.20A, B & C**. The calculated second-order kinetic rate constant is  $0.0143 \log_{10} \left( \frac{MTT_{50}}{ml} \right)^{-1} \cdot \text{min}^{-1}$ , which is equal to  $0.059 - 0.00059 \left( \frac{mg}{g} \right)^{-1} \cdot \text{min}^{-1}$  (since numbers of infectious PPV particles are not an accurate number, and they fall in a range. See details below.). This is much larger than the reported second-order kinetic rate constant value of  $0.0001 \left( \frac{mg}{g} \right)^{-1} \cdot \text{min}^{-1}$ , reported for the adsorption of different heavy metals onto electrospun chitosan/PEO nanofibers (Aliabadi et al. 2013). This indicates that the adsorption of PPV onto HTCC-PVA nanofibers is faster than the heavy metal adsorption onto chitosan/PEO nanofibers. This is possibly because that the positive charge which HTCC carries is much stronger than that which chitosan carries. Also, the virus has multiple charge sites that are available for binding to the polymer, much more than heavy metals.

The unit conversion of the second-order kinetic rate constant is shown as follows:

$$1 \log_{10} \left( \frac{MTT_{50}}{ml} \right) = 1 \log_{10} \left( \frac{MTT_{50}}{ml} \right) \times \frac{10^7 \frac{pfu}{ml}}{7.8 \log_{10} \left( \frac{MTT_{50}}{ml} \right)} \times \frac{10,000 - 1,000,000 \text{ particles}^{**}}{1 \text{ pfu}} \times \frac{1 \text{ mol}}{6 \times 10^{23} \text{ particles}^{***}} \times \frac{5.5 \times 10^6 \text{ g}^{****}}{1 \text{ mol}} = 1.18 \times 10^{-7} \text{ (or 5) } \frac{g}{ml}$$

$$* 7 \log_{10} \left( \frac{pfu}{ml} \right) = 7.8 \log_{10} \left( \frac{MTT_{50}}{ml} \right) \text{ (Heldt et al. 2006), thus } 10^7 \frac{pfu}{ml} = 7.8 \log_{10} \left( \frac{MTT_{50}}{ml} \right)$$

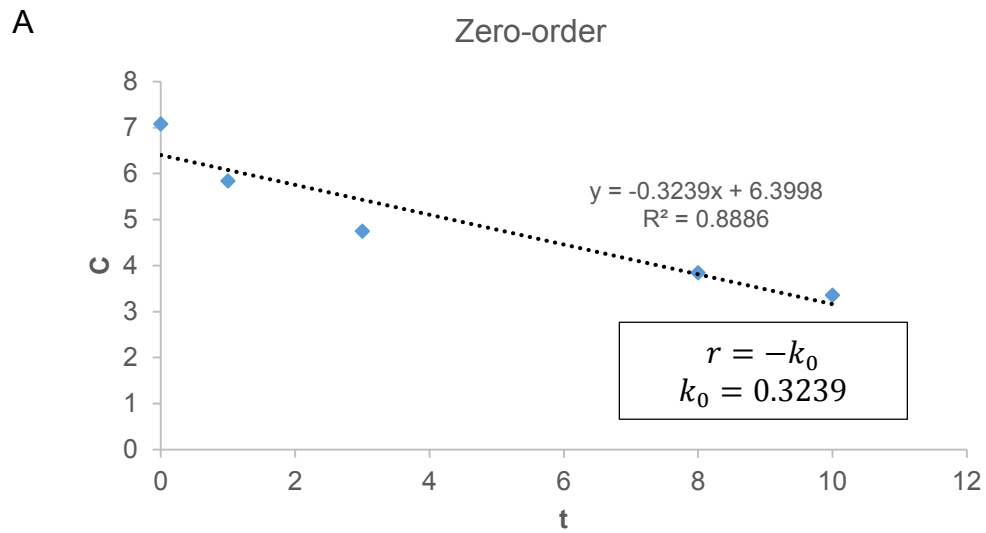
$$** \text{ Infectivity ratio} = \frac{\text{infectious particles}}{\text{total particles}} = 10,000 - 1,000,000 \text{ (Heldt 2008), thus } 1 \text{ pfu} = 10,000 - 1,000,000 \text{ particles}$$

$$*** \text{ Avogadro's constant} = 6 \times 10^{23} \text{ mol}^{-1} \text{ (Prausnitz et al. 1999), thus } 1 \text{ mol} = 6 \times 10^{23} \text{ particles}$$

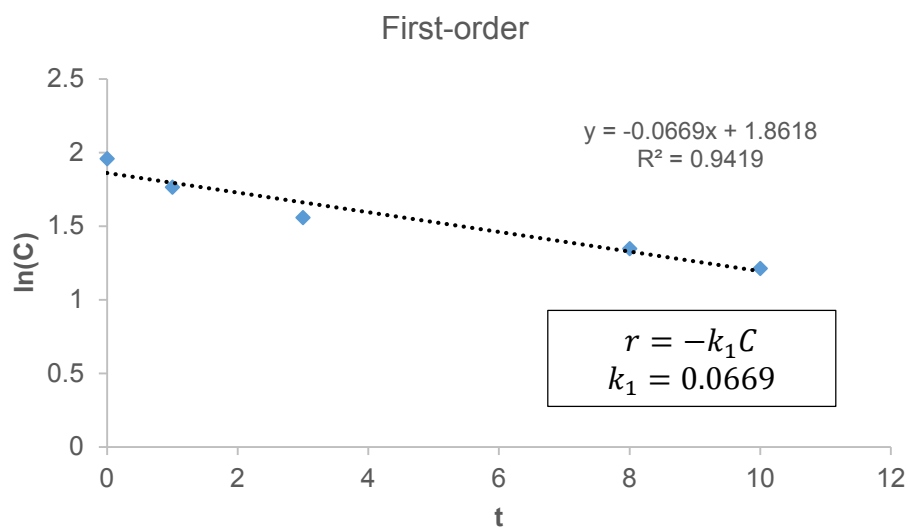
$$**** \text{ Molecular weigh of PPV} = 5.5 \times 10^6 \text{ g/mol (Cotmore and Tattersall 2007), thus } 1 \text{ mol PPV} = 5.5 \times 10^6 \text{ g}$$

In our case, the calculated second-order kinetic rate constant is 0.0143  $\log_{10} \left( \frac{\text{MTT}_{50}}{\text{ml}} \right)^{-1} \cdot \text{min}^{-1}$ , which is based on 0.5 ml of initial PPV virus and 0.1507 mg of HTCC-PVA nanofibers.

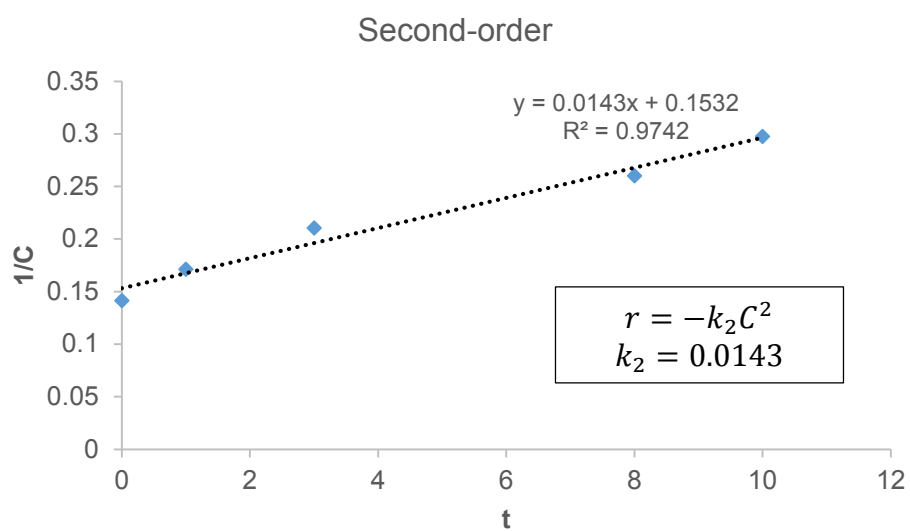
$$\text{Therefore, } k_2 = 0.0143 \log_{10} \left( \frac{\text{MTT}_{50}}{\text{ml}} \right)^{-1} \cdot \text{min}^{-1} = 0.0143 \times 1.18 \times 10^{-7} \text{ (or } 5) \left( \frac{\text{g}}{\text{ml}} \right)^{-1} \cdot \text{min}^{-1} \times \frac{0.1507 \text{ mg}}{0.5 \text{ ml}} = 5.09 \times 10^{-10} \text{ (or } 8) \left( \frac{\text{g}}{\text{mg}} \right)^{-1} \cdot \text{min}^{-1} = 5.09 \times 10^{-4} \text{ (or } 2) \left( \frac{\text{mg}}{\text{g}} \right)^{-1} \cdot \text{min}^{-1} = 0.00059 - 0.059 \left( \frac{\text{mg}}{\text{g}} \right)^{-1} \cdot \text{min}^{-1}$$

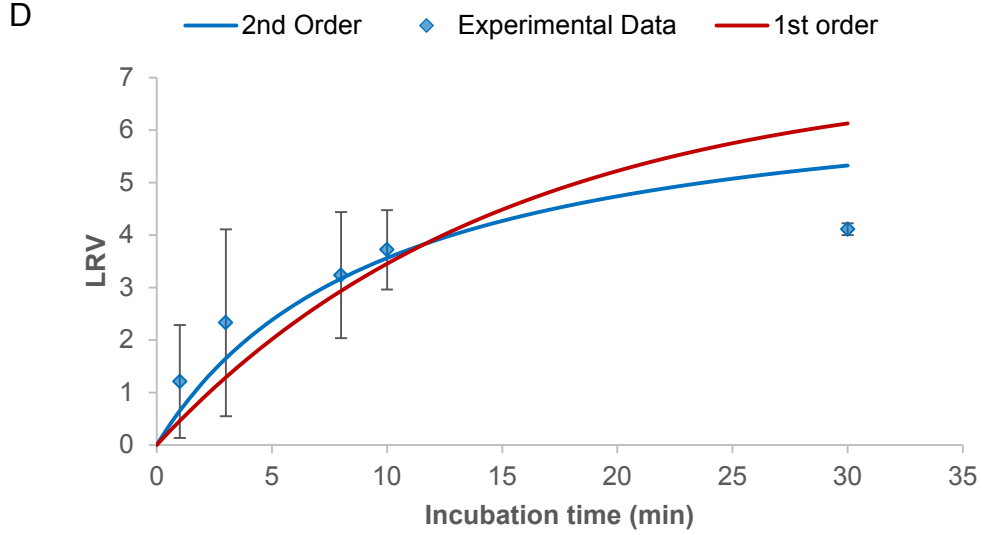


B



C





**Figure 4.20** The kinetic adsorption of 30% 4 h crosslinked 10% (w/v) 4:6 HTCC-PVA nanofibers. (A) The zero-order kinetics plot for adsorption of PPV particle onto HTCC-PVA nanofibers. (B) The first-order kinetics plot for adsorption of PPV particle onto HTCC-PVA nanofibers. (C) The second-order kinetics plot for adsorption of PPV particle onto HTCC-PVA nanofibers. (D) The experimental and calculated LRV vs. incubation time  $t$ . One layer of  $0.5024 \text{ cm}^2$  of nanofibers was centrifuged for 30 min after incubation for different lengths of time. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml. All data points are the average of three separate tests and error bars represent the standard deviation.

The adsorption isotherm of PPV onto HTCC-PVA nanofibers was analyzed in terms of the Langmuir, Freundlich, and Temkin isotherm models. This was conducted by incubation of 30% 4 h crosslinked 10% (w/v) 4:6 HTCC-PVA nanofibers washed by water for 10 min at different start concentrations of virus.

The Langmuir isotherm is used for monolayer sorption onto a homogeneous surface containing a finite number of identical sites (Ozdemir et al. 2012). The linear form of the Langmuir isotherm equation is given as follows:

$$\frac{C_e}{q_e} = \frac{C_e}{q_m} + \frac{1}{q_m K_L} \quad (4.4)$$

Where,

$C_e$  = the unbound concentration of virus in solution ( $\log_{10} \left( \frac{\text{MTT}_{50}}{\text{ml}} \right)$ )

$$\begin{aligned}
q_e &= \text{the adsorption of virus bound to the nanofibers } \left( \frac{\log_{10} \left( \frac{MTT_{50}}{ml} \right)}{cm^2} \right) \\
q_m &= \text{the maximum capacity of the nanofibers } \left( \frac{\log_{10} \left( \frac{MTT_{50}}{ml} \right)}{cm^2} \right) \\
K_L &= \text{the Langmuir adsorption constant } \left( \log_{10} \left( \frac{MTT_{50}}{ml} \right)^{-1} \right)
\end{aligned}$$

The values of  $q_m$  and  $K_L$  can be obtained from the slope and intercept of the liner plot of  $\frac{C_e}{q_e}$  vs.  $C_e$ , as shown in **Figure 4.21A**.

The Freundlich isotherm is an empirical equation which is used for adsorption on a heterogeneous surface with different adsorption sites (Ozdemir et al. 2012). The linear form of the Freundlich isotherm equation is expressed as follows:

$$\log q_e = \log K_f + \frac{1}{n} \log C_e \quad (4.5)$$

Where,

$$\begin{aligned}
C_e &= \text{the unbound concentration of virus in solution } \left( \log_{10} \left( \frac{MTT_{50}}{ml} \right) \right) \\
q_e &= \text{the adsorption of virus bound to the nanofibers } \left( \frac{\log_{10} \left( \frac{MTT_{50}}{ml} \right)}{cm^2} \right) \\
n &= \text{the Freundlich isotherm constant related to adsorption intensity} \\
K_f &= \text{the Freundlich isotherm constant related to adsorption capacity}
\end{aligned}$$

The values of  $n$  and  $K_f$  can be calculated from the slope and intercept of the liner plot of  $\log q_e$  vs.  $\log C_e$ , as shown in **Figure 4.21B**.

The Temkin isotherm takes into account adsorbing species-adsorbate interactions. This isotherm assumes that the heat of adsorption would decrease linearly with increasing coverage of adsorbate (Vu et al. 2012). The linear form of the Temkin isotherm equation is given as follows:

$$q_e = B \ln K_T + B \ln C_e \quad (4.6)$$

Where,

$$\begin{aligned}
C_e &= \text{the unbound concentration of virus in solution } \left( \log_{10} \left( \frac{MTT_{50}}{ml} \right) \right) \\
q_e &= \text{the adsorption of virus bound to the nanofibers } \left( \frac{\log_{10} \left( \frac{MTT_{50}}{ml} \right)}{cm^2} \right) \\
B &= \text{the isotherm constant related to adsorption heat} \\
K_T &= \text{the equilibrium constant related to the maximum binding energy}
\end{aligned}$$

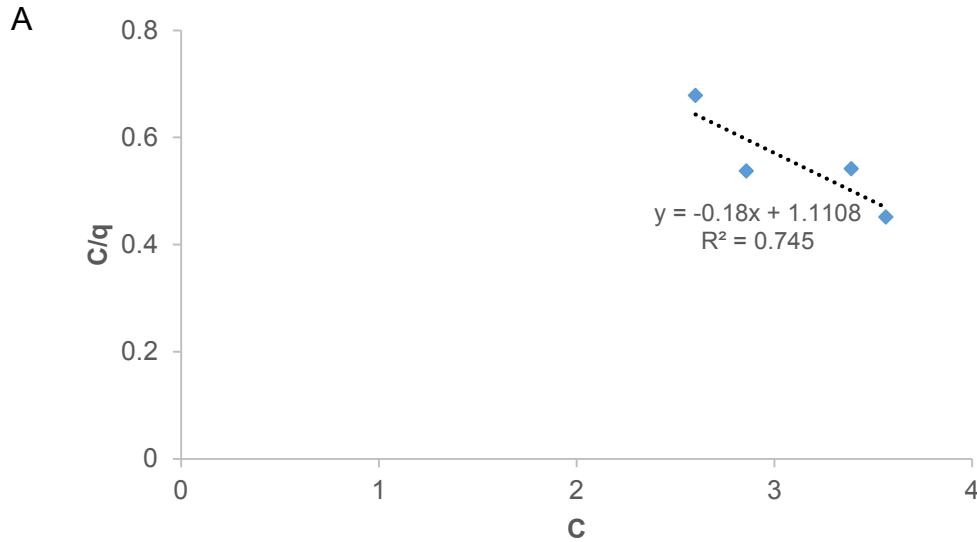
The values of  $B$  and  $K_T$  can be determined from the slope and intercept of the liner plot of  $q_e$  vs.  $\ln C_e$ , as shown in **Figure 4.21C**.

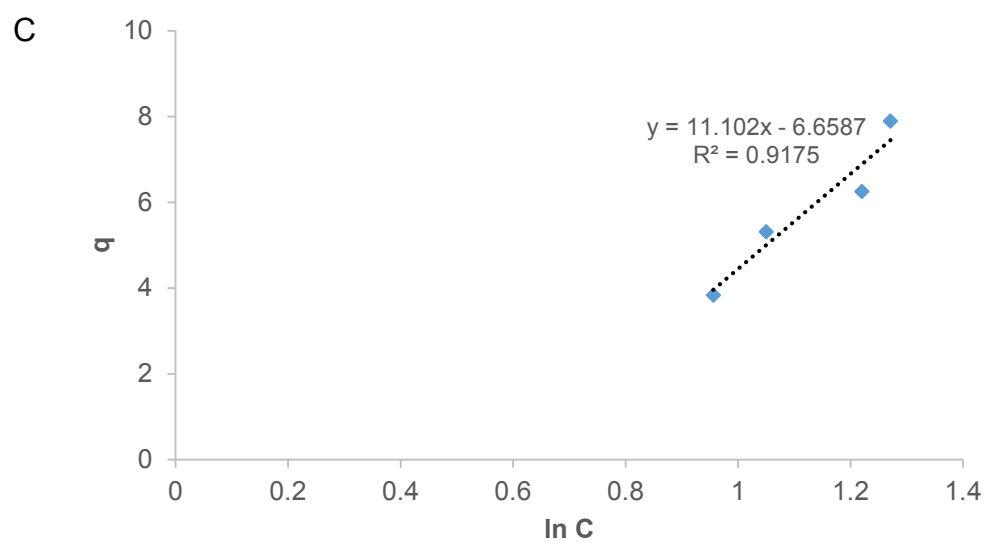
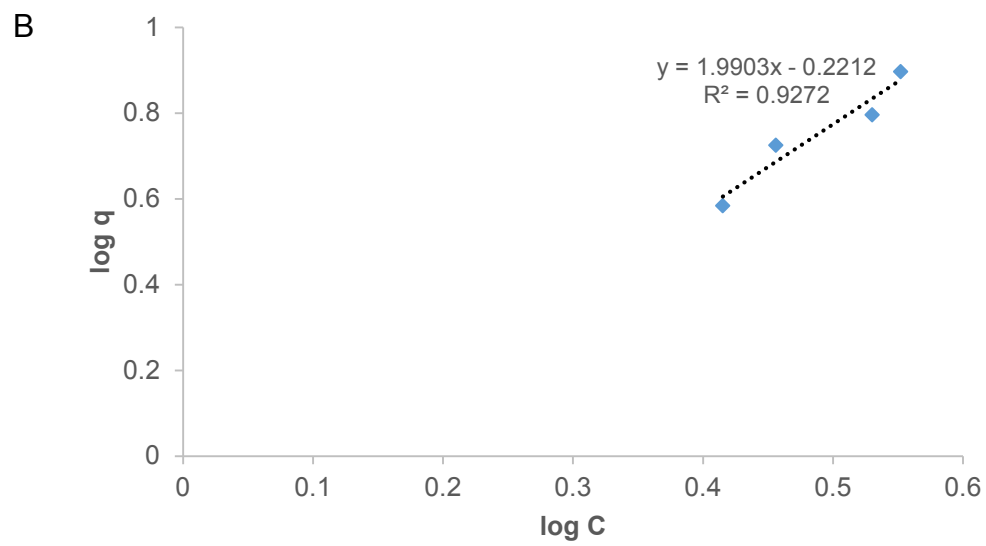


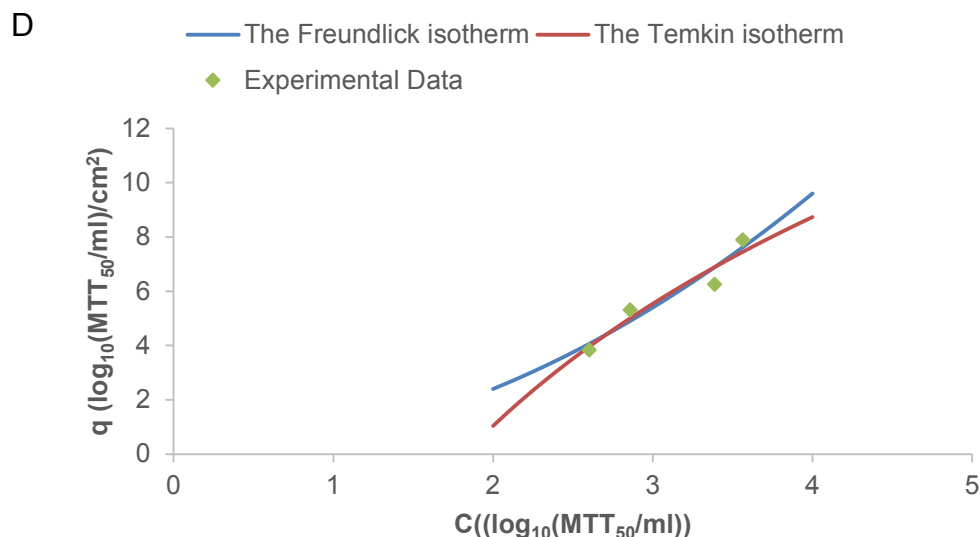
The results of isotherm constants calculated from the slopes and intercepts of the linear plots (**Figure 4.21**) are summarized in **Table 4.1**. As shown in the table, the correlations coefficients ( $R^2$ ) for the Freundlich and Temkin isotherm are much higher than the values obtained for the Langmuir isotherm. In addition, the isotherm constants obtained from the Langmuir isotherm is negative, which indicates the Langmuir isotherm is not a good isotherm model for PPV adsorption onto HTCC-PVA nanofibers. What's more, the Freundlich isotherm constant ( $n$ ) obtained from the linear plot is 0.5, however, it should have values ranging from 1 to 10 (Alagumuthu et al. 2010). This suggests that the Temkin isotherm is the best-fit isotherm for the adsorption of PPV onto HTCC-PVA nanofibers. This indicates that our adsorption of PPV onto the nanofibers is due to charge-charge interaction and the heat of adsorption would decrease linearly with increasing coverage of PPV. The calculated Temkin isotherm constant ( $K_T$ ) is  $0.55 \log_{10} \left( \frac{MTT_{50}}{ml} \right)^{-1}$ , which is equal to  $6.49 \times 10^{-9} - 6.49 \times 10^{-11} \frac{L}{g}$ , much smaller than the reported Temkin isotherm constant value  $2.6 \frac{L}{g}$ , from the adsorption of heavy metal copper ions onto electrospun  $TiO_2$  nanofibers (Vu et al. 2012). This is possibly due to not reaching a high enough concentration of virus to saturate the system of HTCC-PVA fibers (which is typical for virus) (Heldt et al. 2012) thus the Temkin constant was underestimated.

The unit conversion of Temkin isotherm constant (similar to unit conversion of kinetic rate constant) is shown as follows:

$$K_T = 0.55 \log_{10} \left( \frac{MTT_{50}}{ml} \right)^{-1} = 0.55 \times 1.18 \times 10^{-7} \text{ (or } 5) \left( \frac{g}{ml} \right)^{-1} = 6.49 \times 10^{-8} \text{ (or } 6) \left( \frac{g}{ml} \right)^{-1} = 6.49 \times 10^{-8} \text{ (or } 6) \frac{ml}{g} = 6.49 \times 10^{-11} - 6.49 \times 10^{-9} \frac{L}{g}$$







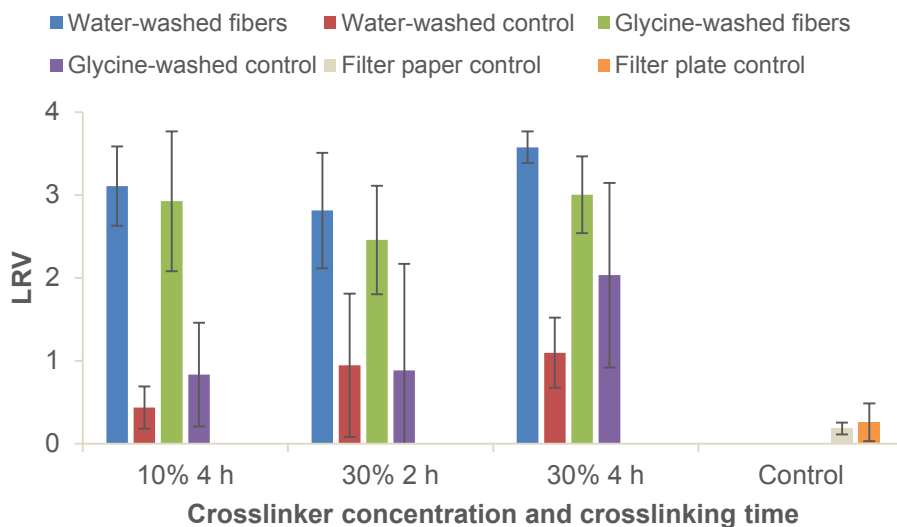
**Figure 4.21** The adsorption isotherms of PPV onto 30% 4 h crosslinked 10% (w/v) 4:6 HTCC-PVA nanofibers incubation for 10 min. (A) Langmuir isotherm for adsorption of PPV particle onto HTCC-PVA nanofibers. (B) Freundlich isotherm for PPV particle adsorption by HTCC-PVA nanofibers. (C) Temkin isotherm for PPV particle adsorption onto HTCC-PVA nanofibers. (D) The experimental and calculated adsorption of virus bound to the nanofibers vs. unbound concentration of virus in solution. One layer of  $0.5024 \text{ cm}^2$  of nanofibers was centrifuged for 30 min after incubation at different start concentrations of virus solution. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml.

**Table 4.1** Parameters of the adsorption isotherm for PPV adsorption

Isotherm models	Langmuir isotherm	Freundlich isotherm	Temkin isotherm
Isotherm constant 1	$q_m = -5.56 \left( \frac{\log_{10}\left(\frac{\text{MTT}_{50}}{\text{ml}}\right)}{\text{cm}^2} \right)$	$n = 0.50$	$B = 11.10$
Isotherm constant 2	$K_L = -0.16 \left( \log_{10} \left( \frac{\text{MTT}_{50}}{\text{ml}} \right)^{-1} \right)$	$K_f = 0.60$	$K_T = 0.55$
$R^2$	0.745	0.9272	0.9175

#### 4.2.2.2 Virus removal by filtration with nanofibers

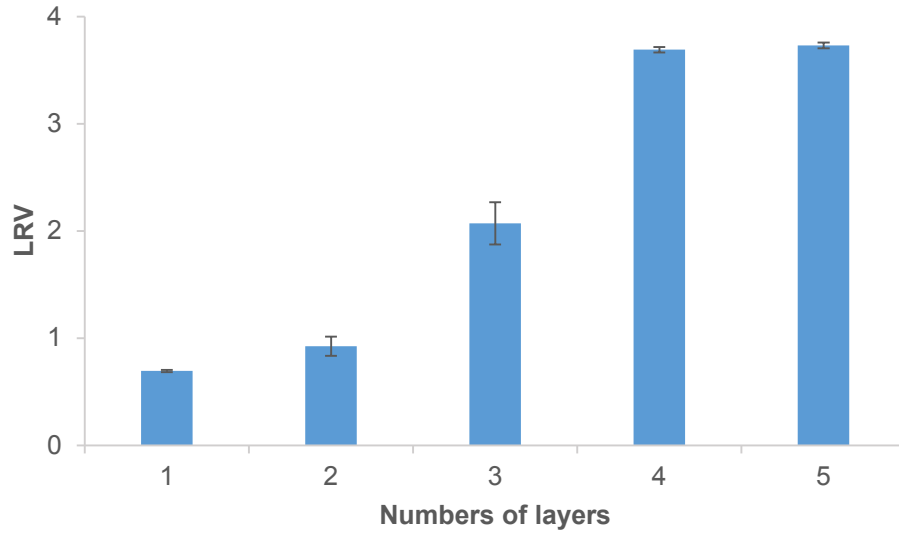
For filtration with crosslinked HTCC-PVA nanofibers with PPV, the virus removal ability of 5 layers of  $0.3167 \text{ cm}^2$  selected of critical crosslinked 10% (w/v) of HTCC-PVA 4:6 nanofibers is shown in **Figure 4.22**. It shows that the 30% 4 h glutaraldehyde vapor crosslinked HTCC-PVA electrospun nanofibers washed by water was able to remove 3.6 LRV of virus. The virus removal ability of nanofibers increased with an increase of crosslinker concentration and crosslinking time which resulted in an increase in the stability of nanofibers. Both the water-washed fibers and glycine-washed fibers showed the same trend with the variation of crosslinker concentration and crosslinking time. It can also be found that all the crosslinked filter paper controls are able to remove less than 2 LRV of virus. Thus the additional LRV was due to the stable electrospun HTCC-PVA nanofibers possibly because of the positively charged quaternary amine in HTCC able to bind negatively charged virus particles.



**Figure 4.22** Virus removal of crosslinked 10% (w/v) 4:6 HTCC-PVA nanofibers. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml. Five layers of  $0.3167 \text{ cm}^2$  selected of critical crosslinked 10% (w to v) of HTCC-PVA 4:6 nanofibers were placed into one well of 96-well filter plate centrifuged at  $400 \times g$  for 10 min. All data points are the average of three separate tests and error bars represent the standard deviation.

Furthermore, the highest virus removal condition was applied to test how the amount of fibers affects the virus removal ability of nanofibers. Different numbers of

layers of HTCC-PVA nanofibers were filtered with PPV. The virus removal results are shown in **Figure 4.23**. LRV increased with the increase of layers of filtration nanofibers.



**Figure 4.23** Virus removal of 30% 4 h crosslinked 10% (w/v) 4:6 HTCC-PVA nanofibers of different layers of fibers. The applied voltage was 20 kV, tip-to-collector distance was 5 cm, feed rate was 4.5 ml/h, rotation speed of drum collector was 1500 rpm and volume of electrospinning solution was 3 ml. Different layers of 0.3167 cm<sup>2</sup> nanofibers were placed into one well of 96-well filter plate centrifuged at 400 × g for 10 min. All data points are the average of two separate tests and error bars represent the variance.

## 5 Conclusions and Future Work

### 5.1 Conclusions

A quaternized chitosan, HTCC, has been successfully synthesized by introducing quaternary ammonium salt groups on the amino groups of chitosan. However, HTCC is difficult to be electrospun alone, so PVA was chosen as a suitable non-ionogenic partner for producing electrospun HTCC nanofibers. The morphology of HTCC-PVA nanofibers was studied at various blend ratios. Increasing HTCC content reduced the average diameter of the nanofibers as well as the ability to electrospin the blend solution. Fibers made from HTCC blended with PVA without crosslinking have the ability to remove up to 85% of PPV (the model virus) from in water.

To improve the stability of HTCC-PVA nanofibers against water, we used glutaraldehyde vapor at different concentrations to crosslink the fibers for different crosslinking time. The glutaraldehyde vapor gave fibers stability against water successfully. 30% 4 h crosslinked HTCC-PVA nanofibers kept their morphology and did not dissolve after immersion in water for 6 h and was the best screened crosslinking conditions in our experiments. In addition, the morphology of fibers was investigated at different applied voltage and feed rates. It could be found that the average diameters of nanofibers significantly increased with a decrease in applied voltage and an increase in feed rate. Furthermore, the pore sizes of membranes decreased with an increase of fiber diameters.

We have successfully produced stable, functionalized, electrospun HTCC-PVA nanofibers that can remove viruses from water by adsorption and filtration. The pore size of membranes were calculated to be  $1.121 \pm 0.078 \mu\text{m}$ , in the range of typical MF membranes (0.1-10  $\mu\text{m}$ ). The diameters of membrane fall in the range of 108 to 167 nm. The fibers were able to remove 3.3 logs (99.95%) of virus after a 10 min incubation. The kinetic adsorption was also studied and experimental data indicated that the adsorption of PPV onto HTCC-PVA nanofibers followed a second-order kinetic model. Besides, the adsorption isotherm was analyzed and the result showed that the Temkin isotherm is the best-fit isotherm for the adsorption of PPV onto HTCC-PVA nanofibers. This indicates that our adsorption of PPV onto the nanofibers is due to charge-charge interaction and the heat of adsorption would decrease linearly with increasing coverage of PPV. In addition, the virus binding ability of nanofibers enhanced with the increase of fiber diameter and fiber density. The fibers were able to remove 3.6 logs (99.97%) of virus when the solution was filtered with the membranes. Combined with result of incubation, it could be found that the virus removal ability of nanofibers increased with an increase in crosslinker concentration and crosslinking time in both the cases of incubation and filtration, possible due to increase in the stability of nanofibers. Furthermore, the virus removal ability of nanofibers increased with the increase of layers of filtration nanofibers, which is identical to the result of incubation of fibers at different fiber density, indicating

the greater number of nanofibers, the better the virus removal. This is the first known report of such a high LRV with nanofibers.

Functionalized electrospun fibers, which have pores that are orders of magnitude greater in size than the virus, have the potential to greatly improve membrane filtration techniques to remove pathogens from drinking water.

## 5.2 Future Work

In the future, we will use other virus models like MS2 virus and Sindbis virus to test the virus removal result of the HTCC-PVA membranes. The HTCC-PVA membrane is expected to remove all the virus effectively from drinking water, thus it needs to be tested with other virus models commonly used in viral clearance study. We will try other additives like PVP to increase the fiber formation of the high content of HTCC in blend electrospinning solution. The virus capture ability of HTCC nanofibers showed an improvement with the increase of the content of HTCC in blending electrospinning solution. PVA can obviously aid the fiber formation of HTCC below 40% of HTCC in blending solution, while PVP was reported to be able to aid the fiber formation of quaternized chitosan at 80% of quaternized chitosan in blending solution (Ignatova et al. 2007).

In addition, we will test the virus removal ability of HTCC-PVA blend fibers doped with a small amount of graphene. Since graphene has been reported to aid the electrospinning and improve the virus removal result of HTCC nanofibers (Bai 2012), we wanted to reduce the viral load of PPV in drinking water by greater than 4 LRV (99.99%), which is an EPA regulated virus reduction minimum (EPA. 1974).

Furthermore, we will enhance virus capture of electrospun chitosan nanofibers with addition of small virus-binding peptides. The small peptide ligands (WRW and KYY) that capture PPV from solutions have been reported (Heldt et al. 2008; Heldt et al. 2009). The attachment of these small peptides through the primary amine group on chitosan nanofibers was hypothesized to improve the virus binding ability of chitosan nanofibers and will bring us a more deep understanding of adsorption of virus onto functionalized surfaces.

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