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3-15-2019

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# Recommended Citation

Wolfe, J. D., Alexander, J. D., Stephens, J. L., & Ralph, C. J. (2019). A novel approach to understanding bird communities using informed diversity estimates at local and regional scales in northern California and southern Oregon. Ecology and Evolution. <http://dx.doi.org/10.1002/ece3.5008> Retrieved from: https://digitalcommons.mtu.edu/forestry-fp/84

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# ORIGINAL RESEARCH

# **A novel approach to understanding bird communities using informed diversity estimates at local and regional scales in northern California and southern Oregon**

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**Funding information** U.S.D.A Forest Service

#### **Abstract**

Assessment and preservation of biodiversity has been a central theme of conserva‐ tion biology since the discipline's inception. However, when diversity estimates are based purely on measures of presence–absence, or even abundance, they do not di‐ rectly assess in what way focal habitats support the life history needs of individual species making up biological communities. Here, we move beyond naïve measures of occurrence and introduce the concept of "informed diversity" indices which scale estimates of avian species richness and community assemblage by two critical phases of their life cycle: breeding and molt. We tested the validity of the "informed diver‐ sity" concept using bird capture data from multiple locations in northern California and southern Oregon to examine patterns of species richness among breeding, molting, and naïve (based solely on occurrence) bird communities at the landscape and local scales using linear regression, community similarity indices, and a Detrended Correspondence Analysis (DCA). At the landscape scale, we found a striking pattern of increased species richness for breeding, molting, and naïve bird communities fur‐ ther inland and at higher elevations throughout the study area. At the local scale, we found that some sites with species‐rich naïve communities were in fact species‐poor when informed by breeding status, indicating that naïve richness may mask more biologically meaningful patterns of diversity. We suggest that land managers use in‐ formed diversity estimates instead of naïve measures of diversity to identify ecologically valuable wildlife habitat.

#### **KEYWORDS**

assemblage, community, community similarity, DCA, informed indices, species richness

## **1** | **INTRODUCTION**

Identification of ecologically valuable habitats for birds is often based on measures of species richness and evenness (collectively referred to as "diversity" hereafter; Gaston & Spicer, 2013) whereby areas with more biologically meaningful resources are assumed to

host heightened levels of diversity. Yet the process of distinguish‐ ing valuable habitat based on measures of diversity may be flawed due to inherent difficulties associated with detecting every species within a community (Iknayan, Tingley, Furnas, & Beissinger, 2014; MacKenzie, Nichols, Hines, Knutson, & Franklin, 2003). Additionally, the mobility of many bird species can confound the relationship

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between estimates of diversity and habitat value. For example, simple vagaries of geography can funnel migrating and dispersing birds onto desolate peninsulas or shorelines, thereby breaking link‐ ages between measures of avian diversity and habitat value (e.g., DeSante, 1983; Vladimir, 1998). At fine spatial scales, such as these, avian mobility and ecological traps can result in birds occupying in‐ ferior habitats, leading to erroneous conclusions about a location's capacity to support a diverse bird community (e.g., Mänd, Tilgar, & Lõhmus, 2005; Gilroy, Anderson, Vickery, Grice, & Sutherland, 2011). The territorial nature of many birds further limits our capac‐ ity to prioritize habitat conservation with measures of diversity. For instance, territoriality can result in ideal despotic distributions where dominant individuals force subordinates into marginal habitat, thereby confounding relationships between diversity and hab‐ itat quality (Johnson, 2007). Clearly, the development of additional methodologies that moderate biases associated with these intrinsic processes and behaviors represent a critical step toward informed conservation planning.

In this vein, many studies have focused exclusively on breed‐ ing birds to assess the influence of habitat fragmentation, forest openings, urbanization, trophic cascades, and other perturbations on measures of avian diversity (Germaine, Vessey, & Capen, 1997; Jokimäki, Suhonen, Jokimäki‐Kaisanlahti, & Carbó‐Ramírez, 2014; Lynch & Whigham, 1984; McShea & Rappole, 2000). By focusing on breeding birds, researchers aim to eliminate the influence of floaters or other individuals that are not directly reliant on resources within a given habitat during an energetically taxing phase of the avian life cycle. Although vitally important, breeding is but a single facet of the avian life cycle and is often structured in relation to the timing of another vital life cycle event—molt (Pyle et al. 1997; Howell et al. 2010). Relative to research focused on breeding, the energetically costly act of molt is an underrepresented topic in the literature, as has been pointed out by Wolfe and Pyle (2012) and, to our knowledge, has not been used to inform measures of bird diversity. We strongly feel that the diversity of molting bird communities, similar to breeding communities, should be considered when identifying valuable habitats because the timing of molt in temperate birds has likely been adapted to occur during periods of elevated food re‐ sources (Rohwer, Rohwer, & Barry, 2008; Pyle et al., 2009; Howell et al. 2010). As such, birds exhibit remarkable strategies, such as al‐ titudinal and long‐distance migration to intermediate stops before proceeding to the wintering grounds, to acquire the necessary food resources to complete molt (Pyle et al., 2009; Rohwer et al., 2008; Wiegardt, Barton, & Wolfe, 2017). Thus, many bird species rely on separate locations to undergo breeding and molting events resulting in a patchwork of habitats with varying ecological value for breeding and molting bird communities. It is imperative to identify and conserve valuable habitats for birds throughout the entire annual cycle, not just during breeding periods.

In the western United States, for example, at least some forestdwelling birds will nest in closed canopy forest and then make small‐ scale movements to meadows and riparian areas with abundant food to molt during the postbreeding period (Wilkerson & Siegel 2002,

Wiegardt et al., 2017). Changes in habitat use between the breed‐ ing and molting season result in differences between breeding and molting bird diversity at local scales. Furthermore, changes in more general habitat characteristics at broader spatial scales, such as vari‐ ation in elevation and distance from climate‐moderating effects of coastlines, may also have considerable influence on the value of hab‐ itat and subsequent diversity of breeding and molting bird communities across the landscape. Additionally, patterns of avian diversity at landscape scales may in part be driven by seasonal availability of insects and fruit, both important food resources for birds, at higher elevations later in the breeding season (Tanaka & Tanaka, 1982).

In this study, we compared naïve diversity measures (i.e., diver‐ sity measures based solely on occurrence) with informed diversity measures (i.e., those informed by breeding and molting activity) across multiple spatial scales. Specifically, we examined breeding, molting, and naïve bird community assemblages, species richness, and evenness to identify areas of conservation value by addressing three questions: (a) can variation in breeding, molting, and naïve bird diversity be explained by geospatial elements of elevation and dis‐ tance inland from the coast? (b) At local scales, does species rich‐ ness and community assemblage differ when considering breeding, molting, and naïve bird communities, suggesting that the value of habitats change with respect to avian life cycle phenology? (c) Does accounting for differences in species richness and community as‐ semblage across the avian life cycle provide a more holistic frame‐ work to assess the value of habitat? To answer these questions, we examined patterns of bird diversity based on 22 years of capture data from 25 banding stations dispersed across northern California and southern Oregon. Our study represents the first investigation that prioritizes habitats based on the diversity of both breeding and molting birds at the local and landscape scale.

## **2** | **MATERIALS AND METHODS**

Bird capture data were collected between 1992 and 2010 at 25 dif‐ ferent capture stations throughout northern California and south‐ ern Oregon (referred to hereafter as the "study area" *see* Figure 1) (Alexander, 2011; Alexander, Ralph, Hollinger, & Hogoboom, 2004). Data were collected under USFWS banding permit #9082 and with approval of the USDA Forest Service. Each station had between 10 and 22 years of data; all data are archived in the Avian Knowledge Network database (Iliff et al., 2009). Stations occurred across a di‐ versity of elevations and distances from the coast and fell within eight biogeographic regions (Table 1). Although environmentally diverse, each banding station occurred adjacent to or within a for‐ ested, riparian, and/or meadow landscape. One year of effort con‐ sisted of a single banding day at least every 7–10 days, from mid‐May until mid‐October; although a few stations were operated two to three times per week. A single banding day was 5 or 6 hours of ef‐ fort, starting within 15 minutes of sunrise. Each banding station had between 10-15 12 × 3 m, 36 mm mesh mist nets. Given that banding efforts were focused on sampling landbirds that occur in



FIGURE 1 Map of the study area in northern California and southern Oregon. Each of the 25 bird capture stations included in this study is identified by circles and further aggregated into biogeographic regions

these habitats, we removed unrepresentative species that are not commonly captured in these habitats (e.g., raptors, owls, water‐ birds, swallows and others, *see* Supporting information Appendix S1). Additionally, we removed all hatching‐year birds to reduce the influence of transients. Banding data were then subdivided into three bird communities based on data taken during capture (follow‐ ing protocols in Ralph, Geupel, Pyle, Martin, & DeSante, 1993): first, a breeding bird community was comprised of individuals captured with a smooth, vascularized or wrinkled brood patch, or a medium or large cloacal protuberance. Second, a molting bird community was comprised of individuals captured undergoing symmetrical flight feather molt. And third, the naïve bird community was comprised of all captured individuals irrespective of breeding and molting status.

To determine differences in species richness relative to breed‐ ing, molting, and naïve bird communities across stations, we used sample‐based rarefaction at each station for captures between the months of May and October, and then extrapolated each rarefaction curve to 40 years of effort in program EstimateS (Colwell 2005). In addition to species richness estimates, we also generated Shannon*–*Wiener index values (H) to examine measures of evenness for breeding, molting, and naïve bird communities at each station. We subsequently z-transformed estimates of species richness and evenness, relative to breeding, molting, and naïve richness and even‐ ness, to make estimates more comparable. For example, positive and negative species richness estimates (z‐transformed) are associated with stations that were found to have relatively more or less species, respectively.

To examine relationships between geographic attributes and species richness relative to breeding, molting, and naïve bird communities, we employed a series of linear regressions where the re‐ sponse variable was estimated species richness (obtained through rarefaction, see above) for breeding, molting, and naïve birds at each station, and explanatory variables were either elevation (linear and quadratic) or distance inland from coast (linear and quadratic) for each station. Both elevation and distance inland are colinear and were, therefore, not included within the same model. Top models

TABLE 1 Effort and location of the 25 capture stations in northern California and southern Oregon



were selected using corrected Akaike Information Criterion (AICc) values (Table 2). Adjusted R<sup>2</sup>, beta estimates and associated 95% confidence intervals for each top model were also examined, and pre‐ sented here, for exploratory purposes. All regression models were formulated and evaluated in program R. To determine if patterns of species richness were correlated between breeding, molting, and naïve bird communities, we regressed estimates of species richness for breeding, molting, and naïve bird communities from each station against each other and used adjusted  $\mathsf{R}^2$ , beta estimates, and associated 95% confidence intervals to evaluate correlations.

To assess community similarity and difference between stations, we used Chao's abundance-based Jaccard community similarity indices. The formula used for Chao‐Jaccard abundance‐based similarity indices are based on Chao, Chazdon, Colwell, and Shen (2005) and described by Colwell (2005) where  $Q_1$  is the frequency of uniques, *Q<sub>2</sub>* the frequency of duplicates:

$$
\text{var}(\hat{S}_{\text{chao2}}) = Q_2 + \left[ \frac{1}{2} \left( \frac{Q_1}{Q_2} \right)^2 + \left( \frac{Q_1}{Q_2} \right)^3 + \frac{1}{4} \left( \frac{Q_1}{Q_2} \right)^4 \right]
$$

and:

$$
\hat{S}_{chao2} = S_{observations} + \frac{Q_1^2}{Q_2}
$$

According to Colwell (2005), Chao's abundance‐based Jaccard community similarity indices are based on the probability that two randomly chosen individuals, one from each of the two samples, both belong to species shared by the two samples (but not necessarily to the same shared species; Chao et al., 2005; Colwell, 2005). These methods reduce biases associated with traditional community sim‐ ilarity methodologies (Chao et al., 2005; Colwell, 2005). All Chao's abundance‐based Jaccard indices for each pair of stations were subsequently z-transformed (allowing comparisons across breeding, molting, naïve bird communities) and arranged by elevation to examine patterns of similarity across different altitudes (Supporting information Appendix S2).

To visualize and further explore differences between community assemblages for breeding, molting, and naïve bird communities rela‐ tive to station and biogeographic region, we used package Vegan in Program R (Dixon, 2003; R Core Team, 2013) to separately ordinate bird communities at each station, standardized by taking the quotient of the total number of individuals captured by the number of years of effort for each station, via a Detrended Correspondence Analysis (DCA). The relative strengths of the DCA axes were given as eigenvalues, and the relative importance of each axis in explain‐ ing variance in the dataset was determined by dividing the value for

that axis by the sum of the four eigenvalues produced by the DCA (Supporting information Appendix S3). We examined each station for differences relative to the other stations via vector fitting analy‐ ses and a permutation test using 1,000 iterations in package Vegan (Supporting information Appendix S4). We conducted three separate DCA analyses for breeding, molting, and naïve bird communities.

TABLE 2 Model rankings for linear and quadratic (indicated by the superscript "2") regression analyses for naïve, breeding, and molting bird communities captured at 25 stations in northern California and southern Oregon

Model	$AIC_c$	$\Delta$ <sub>AICc</sub>	WAICC	$\bf k$
Naïve				
Distance inland	186.64	0.00	0.47	$\overline{2}$
Distance inland <sup>2</sup>	187.99	1.36	0.24	3
$E$ levation <sup>2</sup>	188.07	1.44	0.23	3
Flevation	191.01	4.37	0.05	$\mathcal{P}$
Null	193.03	6.40	0.02	1
<b>Breeding</b>				
Distance inland	183.62	0.00	0.47	$\overline{2}$
Distance inland <sup>2</sup>	186.20	0.41	0.38	$\overline{2}$
Flevation <sup>2</sup>	185.80	2.18	0.16	3
Flevation	187.65	4.04	0.06	3
Null	191.40	7.79	0.01	$\mathbf{1}$
Molting				
Distance inland	179.55	0.00	0.47	$\overline{2}$
Distance inland <sup>2</sup>	181.54	2.00	0.17	3
Elevation	182.61	3.06	0.10	$\mathfrak{D}$
$F$ levation $^2$	183.21	3.67	0.07	3
Null	189.00	9.46	0.00	1

Further, we constructed convex hull polygons for breeding, molting, and naïve bird communities for each biogeographic region that hosted four or more capture stations (Upper Klamath, Rogue, Klamath, and Redwood, Table 1, Supporting information Appendix S5).

## **3** | **RESULTS**

We first examined patterns of breeding and molting species richness at the landscape scale. Specifically, we regressed breeding, molting, and naïve bird species richness with distance inland (linear and quadratic) and elevation (linear and quadratic) and found that breed‐ ing (β = 0.08, 95% CI = 0.04, 0.13), molting (β = 0.09, 95% CI = 0.04, 0.13), and naïve ( $β = 0.08$ , 95% CI = 0.03, 0.13) bird communities were more species rich further inland (Figure 2). To determine if patterns of species richness were correlated between breeding, molting, and naïve bird communities, we regressed estimates of species richness for breeding, molting, and naïve bird communities from each station against each other and found positive relationships (Figure 3). Specifically, species richness was positively correlated be‐ tween breeding and molting communities ( $β = 0.86$ , 95% CI = 0.62, 1.10), breeding and naïve communities ( $β = 0.77, 95%$  CI = 0.53, 1.01), and molting and naïve communities ( $\beta$  = 0.82, 95% CI = 0.53, 1.11).

We also examined patterns of species richness and evenness at the local scale to identify stations that switched from being relatively species rich in one life cycle stage (i.e., breeding) to being relatively depauperate in another stage (i.e., molting; Supporting information Appendix S6). We identified six stations (24% of the total) where richness was *under‐* and *over*represented with regards to breeding or molting species richness (Table 3). For example, CAPD, GERB, and WIIM all had above average species richness when examining







FIGURE 3 Visualizations of linear regressions for estimated species richness of breeding, molting, and naïve bird communities captured in southern Oregon and northern California

breeding communities, and below average species richness when ex‐ amining molting communities. Conversely, CAMP, HCME, and WIWI all had below average species richness when examining breeding communities, and above average species richness when examin‐ ing molting communities. Our analysis also identified five stations (20% of the total) that exhibited above average species richness and evenness irrespective of breeding, molting, and naïve communities: CABN, HOME, ODES, PCT1, and WILL (Table 3). Of these, CABN had the highest species richness with regards to the breeding community and ODES had the highest species richness with regards to the molting community. We used Chao's abundance‐based Jaccard community similarity indices to examine similarities between breed‐ ing, molting, and naïve communities at each station. Our results suggested that stations at comparable elevations were most similar. In general, stations were most similar when considering naïve and most dissimilar when considering molting bird communities.

Finally, we visualized community assemblages of breeding, molting, and naïve bird communities at each station using a Detrended Correspondence Analysis (DCA). The first two axes of the DCA for breeding, molting, and naïve bird communities explained approximately 67%, 72%, and 69% of the cumulative variation within the data, respectively (Supporting information Appendix S3). The DCA permutation and vector fitting analyses indicated that naïve and breeding bird communities at stations nearer the coast and at lower elevations (Coastal, Redwood and Klamath regions) were more dif‐ ferent when compared to bird communities at higher elevations and farther inland (Supporting information Appendix S4). In general, community assemblage appeared to depend on whether we consid‐ ered breeding, molting, or naïve bird communities. The DCA convex hull polygons encompassing regions with four or more stations suggested that the Redwood region was most different exhibiting no

overlap with any of the other regions irrespective of life cycle phase (Supporting information Appendix S5). Conversely, the Rogue and Klamath regions were most similar with broadly overlapping con‐ vex hull polygons across breeding, molting, and naïve communities (Supporting information Appendix S5).

## **4** | **DISCUSSION**

We used a long-term banding dataset to examine patterns of species richness and assemblage for breeding, molting, and naïve bird com‐ munities at the landscape and local scales. Our study provided four important findings: (a) patterns of species richness among breeding, molting, and naïve bird communities varied predictably across the landscape with more species occurring inland rather than nearer the coastline. (b) Irrespective of some stations being nearer to each other, local conditions often resulted in starkly different estimates of species richness. (c) The relative number of species often dif‐ fered between stations when considering breeding, molting, and naïve bird communities, suggesting that habitat value changes with respect to avian life cycle phenology. (d) Informed estimates of spe‐ cies richness provide a more holistic framework to assess the habitat value for birds across their entire life cycle.

At the landscape scale, we found a pattern of increased species richness for breeding, molting, and naïve bird communities further inland throughout the study area (Figure 2). We believe our inland sites were more species rich because birds tended to move inland and to higher elevations to exploit seasonally abundant food resources during the breeding and molting seasons. More specifically, as the study area became increasingly dry during the breeding and molting seasons, we believe moisture refugia, like mountain meadows and



riparian areas, become increasingly important to bird communities reliant on arthropod food resources to successfully complete nesting and molt. The assertion of inland and upslope movements in our study area has been observed by Wiegardt et al. (2017) who found more molting and breeding Wilson's Warblers at higher and lower elevations, respectively, suggesting that dry conditions facilitate up‐ ward movements of some birds. Our results indicate that putative inland and high elevation habitats, such as mountain meadows in western forests, support diverse breeding and molting bird communities during dry summer and fall months.

In addition to identifying patterns of diversity at the landscape scale, informed indices provide a powerful metric to assess the rela‐ tive value of local habitats across breeding and molting seasons. For example, we examined the distribution of species rich and poor stations with regard to breeding, molting, and naïve bird communities. We identified four stations with relatively high breeding and molting bird species richness: CABN, ODES, 7MIL, and TOPS. Each of these stations occurred at high elevations, hosted multilayered forest next to water features, and were situated near the Modoc plateau desert. Thus, these sites provide habitat features critical to sustaining rich bird communities near desert‐like conditions and serve as climatic refugia throughout multiple phases of the avian life cycle; we suggest these areas exhibit high conservation value for provisioning the resources necessary to sustain diverse bird communities through‐ out most of their annual cycle. Conversely, WREF and MARI, both within the Redwood region exhibited the lowest species richness

with regards to breeding and molting communities. However, WREF did host unique breeding and molting bird community assemblages as well as 63% of all Pacific Wrens captured in the study, thereby il‐ lustrating the importance of conserving diversity at different scales; WREF is seemingly less important when considering diversity at the local (alpha and beta) scale but may be critical in maintaining diver‐ sity at broader (gamma) spatial scales (Table S1).

Differences in naïve and breeding bird species richness suggest that informed diversity estimates provided more precision when de‐ termining the ecological value of habitat. For example, we found little difference in species richness between stations such as PARK and GERB when considering naïve bird communities; however, GERB was found to be more species rich than PARK when examining breeding bird communities (Figures 4, 5). Differences in naïve and breeding bird species richness between PARK and GERB demonstrate the value of capturing birds—we can't assume a site has value by pres‐ ence alone—otherwise, GERB might be overlooked. The same is true for important habitats for molting birds. We found three stations (CAMP, HCME, and WIWI) that exhibited above average species richness when considering molting birds, and below average spe‐ cies richness when considering breeding bird communities (Table 3). Relative to breeding birds, we also found greater differences in molting bird community assemblage at capture stations throughout the study area and believe these differences demonstrate how the eco‐ logical value of locations can vary relative to the changing habitat requirements of birds as they end breeding and begin to molt.



FIGURE 4 Example rarefaction curves for naïve (total) bird community captured at three stations that differ in distance from coast and elevation. Solid lines indicate years of actual effort and dotted lines indicate extrapolations to 40 years of effort. Shaded areas indicate 95% confidence intervals





FIGURE 6 Detrended Correspondence Analysis (DCA) ordination for breeding bird communities based on the abundance of captured individuals per species from May to October at 25 stations (denoted by four‐letter code). Each station's biogeographic region is signified in the ordination by a unique color. Hollow dots represent individual bird species. Permutation tests were conducted to identify those bird communities found at each station that varied significantly relative to others, where \*, \*\*, and \*\*\* denote *p*-values less than 0.05, 0.001, and 0.0001, respectively

Our ordination and community similarity analyses of breeding, molting, and naïve community assemblage found that stations in the Redwood region tended to host more unique breeding bird communities relative to more inland sites (Figure 6). Adjacent to the Redwood region, we detected differences in estimates of spe‐ cies richness between stations in the Coastal region that were separated by only a few kilometers (*see* PARK and HOME stations; Table 3). In general, the Redwood region was relatively species poor with distinct assemblages (Figures 4, 7, 8); the four stations

within the Redwood region exhibited below average species richness with regards to breeding, molting, and naïve communities when compared to all other stations (Table 3). Such differences suggest that few species are adapted to breeding and molting in the relatively homogenous redwood forests. When compared to breeding bird communities, molting birds showed heightened variation in community assemblage across the study area. For example, permutation and vector fitting analyses suggested that molting bird communities at individual stations were often more





FIGURE 8 Detrended Correspondence Analysis (DCA) ordination for naïve (total) bird communities based on the abundance of captured individuals per species from May to October at the 25 stations (denoted by four‐letter code). Each station's biogeographic region is signified in the ordination by a unique color. Hollow dots represent individual bird species. Permutation tests were conducted to identify those bird communities found at each station that varied significantly relative to others, where \*, \*\*, and \*\*\* denote p-values less than 0.05, 0.001, and 0.0001, respectively

different relative to each other irrespective of elevation, kilome‐ ters inland, or region (Figure 7). These findings were supported by generally lower estimates of community similarity between stations when considering molting bird communities, relative to naïve and breeding communities (Supporting information Appendix S2). Our results suggest that molting bird community assemblage ex‐ hibit more variation and turnover, relative to breeding communi‐ ties, across the study area.

Here, we demonstrated that local variation in breeding, molting, and naïve bird species richness manifest as predictable patterns across the landscape. Further, we found informed measures of diver‐ sity can be used to better assess the value of habitat for conservation action. These results demonstrate that informed diversity indices

represent a powerful tool to measure the ecological value of habitat across the entire avian life cycle. Researchers should use tools such as informed diversity indices to assess habitat value throughout the entire avian life cycle to promote a more holistic approach to habitat management and conservation.

## **ACKNOWLEDGMENTS**

Support for long-term monitoring was provided by many entities and individuals. They include the Humboldt Bay Bird Observatory; Ashland School District and the Willow Wind Community Learning Center; Southern Oregon University's Office of International Programs; U.S. Forest Service Fremont‐Winema, Klamath, and

Rogue River‐Siskiyou National Forests and International Programs; Bureau of Land Management Oregon State Office, Lakeview and Medford Districts; National Park Service Klamath Network, Oregon Caves National Monument, and Park Flight Program; U.S. Fish and Wildlife Service Region 1 Nongame Landbird Program, Klamath Basin Refuge Complex and Humboldt Bay National Wildlife Refuge; Wildlife Images; KBO members and private sector contributors; and others. We thank the numerous staff, volunteers, and student volun‐ teer interns from Klamath Bird Observatory and U.S. Forest Service Pacific Southwest Research Station in Arcata who made this long‐ term dataset possible. In addition, many individuals from partnering organizations were directly responsible for collection of these data, and their efforts were invaluable to this monitoring program. We want to give a special thanks to Bob Frey and Kim Hollinger who were instrumental in these data collection efforts, and Linda Long for helping organize and vet the data. Our work was conducted fol‐ lowing international standards regarding animal welfare, specifi‐ cally the code of ethics and bander training provided by the North American Banding Council.

#### **AUTHOR CONTRIBUTIONS**

Dr. Jared Wolfe and Dr. John Alexander contributed to this manuscript during its conception, preliminary analysis, data collection, analysis, writing, and revisions. Dr. C. J. Ralph contributed to this manuscript during conception, data collection, writing, and revisions. Jaime Stephens contributed to this manuscript during the data collection, writing, and revisions phases of this manuscript.

#### **DATA ACCESSIBILITY**

All data used in this study are archived in the Avian Knowledge Network Northwest (available here: [http://www.avianknowl](http://www.avianknowledgenorthwest.net/data-management/banding-data-archive)‐ [edgenorthwest.net/data-management/banding-data-archive](http://www.avianknowledgenorthwest.net/data-management/banding-data-archive))

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### **SUPPORTING INFORMATION**

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**How to cite this article:** Wolfe JD, Alexander JD, Stephens JL, Ralph CJ. A novel approach to understanding bird communities using informed diversity estimates at local and regional scales in northern California and southern Oregon. *Ecol Evol*. 2019;00:1–12. <https://doi.org/10.1002/ece3.5008>